

1                   **SOLVING LINEAR ELASTICITY BY RENOVATED**  
2                   **BERNARDI-RAUGEL ELEMENTS ON SIMPLICIAL MESHES**

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5   **Abstract.** This paper presents new numerical methods for solving linear elasticity on simplicial  
6 meshes based on renovation of the Bernardi-Raugel finite elements, which were originally designed  
7 for Stokes flow. The reduced integration technique (or a projection to the constant space) is utilized  
8 for handling the dilation term (divergence of displacement). These new methods are locking-free, as  
9 supported by rigorous analysis and numerical experiments. Renovation of other Stokes element pairs  
10 to linear elasticity is also briefly examined.

11   **Key words.** Bernardi-Raugel elements, linear elasticity, locking-free, tetrahedral meshes, trian-  
12 gular meshes

13   **AMS subject classifications.** 65N30, 74B05

14   **1. Introduction.** This paper is concerned with finite element methods for linear  
15 elasticity in its usual form

16 (1)                   
$$\begin{cases} -\nabla \cdot \sigma = \mathbf{f}(\mathbf{x}), & \mathbf{x} \in \Omega, \\ \mathbf{u}|_{\Gamma^D} = \mathbf{u}_D, & (-\sigma \mathbf{n})|_{\Gamma^N} = \mathbf{t}_N, \end{cases}$$

17 where  $\Omega$  is a two- or three-dimensional bounded domain occupied by a homogeneous  
18 and isotropic elastic material,  $\mathbf{f}$  is a body force,  $\mathbf{u}_D, \mathbf{t}_N$  are respectively Dirichlet  
19 and Neumann data,  $\mathbf{n}$  is the outward unit normal vector on the domain boundary  
20  $\partial\Omega = \Gamma^D \cup \Gamma^N$ . As usual,  $\mathbf{u}$  is the solid displacement,

21                   
$$\varepsilon(\mathbf{u}) = \frac{1}{2} (\nabla \mathbf{u} + (\nabla \mathbf{u})^T)$$

22 is the strain tensor, and

23                   
$$\sigma = 2\mu \varepsilon(\mathbf{u}) + \lambda(\nabla \cdot \mathbf{u})\mathbf{I}$$

24 is the Cauchy stress tensor, where  $\mathbf{I}$  is the order two or three identity matrix.

25 Note that the Lamé constants  $\lambda, \mu$  are given by

26 (2)                   
$$\lambda = \frac{E\nu}{(1+\nu)(1-2\nu)}, \quad \mu = \frac{E}{2(1+\nu)},$$

27 where  $E$  is the elasticity modulus and  $\nu$  is the Poisson's ratio.

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28 A main issue in the development of finite element methods for linear elasticity is  
 29 the so-called *Poisson-locking*, which is often manifested as loss of convergence rates in  
 30 displacement and/or other quantities when  $\lambda \rightarrow \infty$  or  $\nu \rightarrow \frac{1}{2}$ , that is, the material is  
 31 nearly incompressible. It is well known that the linear Lagrangian  $P_1^2$  element suffers  
 32 Poisson-locking [9].

33 Mixed finite element methods (MFEMs) based on the Hellinger-Reissner formu-  
 34 lation overcome Poisson-locking by design. Various types of MFEMs can be found in  
 35 the literature, e.g., [3, 4, 14, 19, 21]. However, MFEMs involve more unknowns and  
 36 result in saddle-point problems that are usually not easy to solve.

37 Recently, novel weak Galerkin (WG) finite element methods have been developed  
 38 for linear elasticity. These include (i) The lowest-order methods on various types of  
 39 meshes that use constant vector approximants both in element interiors and on inter-  
 40 element boundaries [20, 32]; (ii) Higher order methods using polynomial approximants  
 41 (degree 1 or higher) for general polygonal or polyhedral meshes [30]. These WG  
 42 methods are developed based on the primal formulation but have been proved to be  
 43 locking-free.

44 It is known that there are similarities between linear elasticity and Stokes flow,  
 45 when a pseudo-pressure is introduced to elasticity based on the divergence of displace-  
 46 ment (dilation). There are efforts on reusing the Stokes elements for linear elasticity,  
 47 e.g., [22, 23]. These locking-free finite element methods are developed based on the  
 48 displacement-pressure mixed formulation, but a biorthogonal system can be estab-  
 49 lished so that the pressure degrees of freedom can be statically condensed and the  
 50 mixed finite element methods become much more efficient.

51 Therefore, it is natural to consider reusing stable Stokes element pairs for solving  
 52 linear elasticity in the primal formulation. In [31], the Bernardi-Raugel elements  
 53 for Stokes flow [7] were reused for the elasticity part in poroelasticity problems on  
 54 triangular and tetrahedral meshes. The Darcy part was solved in [31] by a mixed  
 55 method based on the Raviart-Thomas element. But the error analysis was conducted  
 56 for the whole Biot system (poroelasticity).

57 This paper intends to provide an independent and rigorous analysis on reusing  
 58 Bernardi-Raugel elements and other Stokes elements to develop locking-free finite  
 59 element solvers for linear elasticity on simplicial meshes. Our investigation reveals  
 60 that to reuse a Stokes element for linear elasticity as presented in Scheme (14), the  
 61 approximation space for Stokes velocity or elasticity displacement needs to satisfy the  
 62 following property elementwise:

$$63 \quad (3) \quad \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} = 0,$$

64 where  $\mathbf{v} \in H^1(\Omega)^d$ ,  $\Pi_h$  is the global projection operator from  $H^1(\Omega)^d$  to the afore-  
 65 mentioned approximation space, and the overline is the elementwise average for the  
 66 divergence of a vector-valued function. This will be further elaborated in Sections 2,  
 67 3, and 6.

68 The rest of this paper is organized as follows. Section 2 briefly reviews the defini-  
 69 tions and properties of the first order Bernardi-Raugel elements that were originally  
 70 designed for Stokes flow [7]. Section 3 presents finite element schemes for linear  
 71 elasticity based on renovation of the first order Bernardi-Raugel elements on simpli-  
 72 cial meshes. These schemes involve only the displacement unknowns and are in the  
 73 general strain-div formulation. Section 4 presents rigorous error estimation in the  
 74 energy-norm and  $L^2$ -norm for the finite element schemes. Section 5 performs numeri-  
 75 cal experiments on three widely tested examples to illustrate the theoretical estimates.

76 Section 6 examines reuse of other Stokes element pairs for linear elasticity. Section 7  
77 concludes the paper with some remarks.

78 **2. Bernardi-Raugel Elements on Triangles and Tetrahedra.** This sec-  
79 tion briefly reviews the definitions and properties of the first order Bernardi-Raugel  
80 elements ( $\text{BR}_1$ ) constructed in the original paper [7] for triangles and tetrahedra.

81 **BR<sub>1</sub> Elements for Triangles.** Let  $T$  be a triangle with vertices  $a_i = (x_i, y_i), i =$   
82  $1, 2, 3$ . Let  $e_i (i = 1, 2, 3)$  be the edge opposite to vertex  $a_i$  and  $\mathbf{n}_i$  be the outward unit  
83 normal vector on  $e_i$ . Let  $\lambda_i (i = 1, 2, 3)$  be the barycentric coordinates. We consider  
84 three edge-based bubble functions

$$85 \quad (4) \quad \mathbf{b}_1 = \mathbf{n}_1 \lambda_2 \lambda_3, \quad \mathbf{b}_2 = \mathbf{n}_2 \lambda_3 \lambda_1, \quad \mathbf{b}_3 = \mathbf{n}_3 \lambda_1 \lambda_2.$$

86 Let  $P_1(T)^2$  be the space of vector-valued linear polynomials defined on  $T$ . We define

$$87 \quad (5) \quad \text{BR}_1(T) = P_1(T)^2 + \text{Span}(\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3).$$

88 This definition is extended to a triangular mesh with considerations on (i) contin-  
89 uous piecewise linear polynomials on the whole mesh; (ii) consistency in edge normal  
90 vectors for two adjacent elements and domain boundaries.

91 It was shown in [7] that  $\text{BR}_1$  together with the piecewise constant space form a  
92 stable element pair for Stokes flow. We usually denote this pair as  $(\text{BR}_1, P_0)$ .

93 **BR<sub>1</sub> Elements for Tetrahedra.** This is very similar to that discussed in the  
94 previous paragraphs. Now let  $T$  be a tetrahedron with vertices  $a_i = (x_i, y_i, z_i), i =$   
95  $1, 2, 3, 4$ . Let  $e_i (i = 1, 2, 3, 4)$  be the face opposite to vertex  $a_i$  and  $\mathbf{n}_i$  be the outward  
96 unit normal vector on face  $e_i$ . Let  $\lambda_i (i = 1, 2, 3, 4)$  be the barycentric coordinates.  
97 We consider four face-based bubble functions

$$98 \quad (6) \quad \mathbf{b}_1 = \mathbf{n}_1 \lambda_2 \lambda_3 \lambda_4, \quad \mathbf{b}_2 = \mathbf{n}_2 \lambda_3 \lambda_4 \lambda_1, \quad \mathbf{b}_3 = \mathbf{n}_3 \lambda_4 \lambda_1 \lambda_2, \quad \mathbf{b}_4 = \mathbf{n}_4 \lambda_1 \lambda_2 \lambda_3.$$

99 Let  $P_1(T)^3$  be the space of vector-valued linear polynomials on tetrahedron  $T$ . Then  
100 the  $\text{BR}_1$  space on this tetrahedron is defined as

$$101 \quad (7) \quad \text{BR}_1(T) = P_1(T)^3 + \text{Span}(\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3, \mathbf{b}_4).$$

102 For the rest of this paper, we unify the treatments for  $\text{BR}_1$  on triangles and  
103 tetrahedra. Distinction is made only when necessary.

104 Let  $\mathcal{T}_h$  be a partition of the given domain  $\Omega$  consisting of  $d$ -simplexes ( $d = 2$  for  
105 triangles and  $d = 3$  for tetrahedra). We use  $\Gamma_h^D$  to denote the set of all edges or faces  
106 of  $\mathcal{T}_h$  that are on the Dirichlet boundary  $\Gamma^D$ . We define approximation spaces

$$107 \quad (8) \quad V_h = \{\mathbf{v} \in H^1(\Omega)^d : \mathbf{v}|_T \in \text{BR}_1(T), \forall T \in \mathcal{T}_h\},$$

$$108 \quad (9) \quad V_h^{0,D} = \{\mathbf{v} \in V_h : \mathbf{v}|_{\Gamma_h^D} = \mathbf{0}\},$$

109 where

$$110 \quad \text{BR}_1(T) = P_1(T)^d \oplus \text{Span}\{\mathbf{b}_i, 1 \leq i \leq d+1\},$$

$$111 \quad \mathbf{b}_i = \mathbf{n}_i \prod_{j=1, j \neq i}^{d+1} \lambda_j.$$

112 Now we consider the local and global projection operators defined in [7]. For  
 113  $T \in \mathcal{T}_h$ ,  $\mathbf{v} \in H^1(T)^d$ , the projection operator  $\Pi_T : H^1(T)^d \rightarrow \text{BR}_1(T)$  is defined as

$$114 \quad \Pi_T \mathbf{v} = \tilde{\Pi}_T \mathbf{v} + \sum_{i=1}^{d+1} \alpha_i \mathbf{b}_i,$$

115 where  $\tilde{\Pi}_T$  is actually the interpolation operator

$$116 \quad \tilde{\Pi}_T \mathbf{v} = \sum_{i=1}^{d+1} \mathbf{v}(a_i) \lambda_i$$

117 and

$$118 \quad \alpha_i = \left( \int_{e_i} (\mathbf{v} - \tilde{\Pi}_T \mathbf{v}) \cdot \mathbf{n} \right) / \int_{e_i} \prod_{j=1, j \neq i}^{d+1} \lambda_j, \quad 1 \leq i \leq d+1.$$

119 The projection operator  $\Pi_T$  satisfies

$$120 \quad (10) \quad (\Pi_T \mathbf{v})(a_i) = \mathbf{v}(a_i), \quad 1 \leq i \leq d+1,$$

$$121 \quad (11) \quad \int_{e_i} (\mathbf{v} - \Pi_T \mathbf{v}) \cdot \mathbf{n} = 0, \quad 1 \leq i \leq d+1.$$

122 The global projection operator  $\Pi_h : H^1(\Omega)^d \rightarrow V_h$  is defined as

$$123 \quad (\Pi_h \mathbf{v})|_T = \Pi_T(\mathbf{v}|_T).$$

124 From (11), we have, for any  $\mathbf{v} \in H^1(\Omega)^d$ ,

$$\begin{aligned} 125 \quad \|\overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})}\|^2 &= \sum_{T \in \mathcal{T}_h} \int_T \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} \\ 126 &= \sum_{T \in \mathcal{T}_h} \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} \int_T \nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v}) \\ 127 &= \sum_{T \in \mathcal{T}_h} \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} \int_{\partial T} (\mathbf{v} - \Pi_h \mathbf{v}) \cdot \mathbf{n} \\ 128 &= 0. \end{aligned}$$

129 This is to say that on each element we have

$$130 \quad (12) \quad \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} = 0.$$

### 131 3. Solving Linear Elasticity by Renovated Bernardi-Raugel Elements.

132 Combined with the reduced integration technique [10, 15, 25], the Bernardi-Raugel  
 133 elements discussed in the previous section can be utilized to solve linear elasticity on  
 134 simplicial meshes. For ease of presentation, we focus on triangular meshes.

135 Let  $\Omega$  be a polygonal domain equipped with a triangular mesh  $\mathcal{T}_h$ . Let  $\mathbf{v}_h \in V_h$ ,  
 136 as defined in (8). For a triangle  $T \in \mathcal{T}_h$ , it is known that in general  $\text{div}(\mathbf{v}_h)$  is not  
 137 a constant on  $T$ . We consider its average  $\text{div}(\mathbf{v}_h)$  on  $T$ , namely, the local projection  
 138 into the space of constant scalars. This technique is also called *reduced integration*  
 139 [25].

140 For a triangle  $T \in \mathcal{T}_h$  satisfying  $T \cap \Gamma^D \neq \emptyset$  and an edge  $e = \partial T \cap \Gamma_h^D$ , we define  
 141  $\mathbf{u}_{D,h} \in V_h|_{\Gamma_h^D}$  on  $e$  by

$$142 \quad (13) \quad (\mathbf{u}_{D,h})|_e = \tilde{\Pi}_e(\mathbf{u}_D) + \left( \int_e (\mathbf{u}_D - \tilde{\Pi}_e \mathbf{u}_D) \cdot \mathbf{n} \right) / \int_e \mathbf{b}_e \cdot \mathbf{n} \mathbf{b}_e,$$

143 where  $\tilde{\Pi}_e$  is the interpolation operator onto  $P_1(e)^d$ ,  $\mathbf{n}$  is the outward unit normal vector  
 144 on  $e$ , and  $\mathbf{b}_e$  is the bubble function associated with edge  $e$  such that  $(\mathbf{b}_e)|_e \neq \mathbf{0}$ . It is  
 145 easy to see that

$$146 \quad (\Pi_h \mathbf{u})|_{\Gamma_h^D} = \mathbf{u}_{D,h}.$$

147 We consider a finite element scheme in the strain-div formulation: Find  $\mathbf{u}_h \in V_h$   
 148 such that  $\mathbf{u}_h|_{\Gamma_h^D} = \mathbf{u}_{D,h}$  and

$$149 \quad (14) \quad \mathcal{A}_h(\mathbf{u}_h, \mathbf{v}_h) = \mathcal{F}_h(\mathbf{v}_h), \quad \forall \mathbf{v}_h \in V_{h,D}^0,$$

150 where

$$151 \quad (15) \quad \mathcal{A}_h(\mathbf{u}_h, \mathbf{v}_h) = 2\mu \sum_{T \in \mathcal{T}_h} (\varepsilon(\mathbf{u}_h), \varepsilon(\mathbf{v}_h))_T + \lambda \sum_{T \in \mathcal{T}_h} (\overline{\nabla} \cdot \mathbf{u}_h, \overline{\nabla} \cdot \mathbf{v}_h)_T$$

152 and

$$153 \quad (16) \quad \mathcal{F}_h(\mathbf{v}_h) = \sum_{T \in \mathcal{T}_h} (\mathbf{f}, \mathbf{v}_h)_T - \sum_{e \in \Gamma_h^N} \langle \mathbf{t}_N, \mathbf{v}_h \rangle_e.$$

154 **Enforcing boundary conditions.** It is clear that there are two sets of basis  
 155 functions for the displacement: node-based and edge-based. Compatibility among  
 156 these two types of functions needs to be maintained in enforcement or incorporation  
 157 of boundary conditions. Here we discuss the treatments for 2-dim problems only, since  
 158 3-dim treatments are very similar.

- 159 (i) For a Dirichlet edge, one can enforce the Dirichlet condition at the two end  
 160 nodes by a direct evaluation (interpolation) of the Dirichlet data. Then the  
 161 difference between the original Dirichlet data and the interpolant is utilized  
 162 to calculate the coefficient for the edge bubble function. See (13).  
 163 (ii) For a Neumann edge, integrals of the Neumann data against the three basis  
 164 functions (two linear polynomials for the end nodes, one quadratic for the  
 165 edge) are computed directly and assembled into the global right-hand side of  
 166 the sparse discrete linear system. See the 2nd term in (16).

167 **4. Analysis.** This section presents error analysis for the proposed finite element  
 168 scheme. In [9, 10], similar estimates were established for pure displacement problems  
 169 in the grad-div formulation. In this paper, we consider more generally the mixed  
 170 boundary conditions, for which we need to use the strain-div formulation. For conve-  
 171 nience, we use  $A \lesssim B$  to simplify an inequality  $A \leq CB$ , where  $C > 0$  is a constant  
 172 that may take different values at different occasions but is independent of  $\lambda$  and  $h$ .

173 **4.1. Regularity Estimates.** In this subsection, we establish regularity results  
 174 (mainly Theorem 2) that will be used later in analysis for finite element solutions.

175 For the rest of this paper, we assume that the boundary data  $\mathbf{u}_D \in H^{\frac{3}{2}}(\Gamma^D)$  and  
 176  $\mathbf{t}_N \in H^{\frac{1}{2}}(\Gamma^N)$  satisfy the following hypothesis.

177 **Hypothesis 4.1.** *There exists  $\mathbf{z} \in H^3(\Omega)^d$  ( $d = 2, 3$ ) such that*

178 (17) 
$$(\nabla \times \mathbf{z})|_{\Gamma^D} = \mathbf{u}_D,$$

179 (18) 
$$\|\mathbf{z}\|_{H^3(\Omega)} \lesssim \left( \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right).$$

180 **LEMMA 1.** *Let  $\Omega \subset \mathbb{R}^d$  ( $d = 2, 3$ ) be a bounded polygonal or polyhedral domain.*  
 181 *Let  $\ell = 1$  or  $2$ . For any  $\mathbf{v} \in H^\ell(\Omega)^d$ , there exists  $\mathbf{w} \in H^\ell(\Omega)^d$  such that*

182 
$$\nabla \cdot \mathbf{w} = \nabla \cdot \mathbf{v} \quad \text{and} \quad \|\mathbf{w}\|_{H^\ell(\Omega)} \lesssim \|\nabla \cdot \mathbf{v}\|_{H^{\ell-1}(\Omega)}.$$

183 *Proof.* Let  $D$  be a disc that contains  $\bar{\Omega}$ . It is known from [10] that there exists  
 184 an operator  $E : H^{\ell-1}(\Omega) \rightarrow H^{\ell-1}(D)$  such that for any  $q \in H^{\ell-1}(\Omega)$ ,

185 (19) 
$$\|E(q)\|_{H^{\ell-1}(D)} \lesssim \|q\|_{H^{\ell-1}(\Omega)} \quad \text{and} \quad E(q)|_\Omega = q.$$

186 Let  $\zeta \in H^{\ell+1}(D)$  be the solution of the following elliptic boundary value problem

187 
$$\Delta \zeta = E(\nabla \cdot \mathbf{v}) \quad \text{in } D,$$
  
 188 
$$\zeta = 0 \quad \text{on } \partial D.$$

189 From the theory on elliptic regularity [10] and (19), we have

190 
$$\|\zeta\|_{H^{\ell+1}(D)} \lesssim \|E(\nabla \cdot \mathbf{v})\|_{H^{\ell-1}(D)} \lesssim \|\nabla \cdot \mathbf{v}\|_{H^{\ell-1}(\Omega)}.$$

191 Let  $\mathbf{w} = \nabla \zeta$ . Then we have  $\nabla \cdot \mathbf{w} = \nabla \cdot \mathbf{v}$  and

192 
$$\|\mathbf{w}\|_{H^\ell(\Omega)} \leq \|\mathbf{w}\|_{H^\ell(D)} \leq \|\zeta\|_{H^{\ell+1}(D)} \lesssim \|\nabla \cdot \mathbf{v}\|_{H^{\ell-1}(\Omega)},$$

193 which completes the proof.  $\square$

194 **THEOREM 2.** *Let  $\Omega \subset \mathbb{R}^d$  ( $d = 2, 3$ ) be a bounded convex polygonal or polyhedral*  
 195 *domain and  $\mathbf{f} \in L^2(\Omega)^d$ . Then the elasticity boundary value problem (1) has a unique*  
 196 *solution  $\mathbf{u} \in H^2(\Omega)$  such that*

197 (20) 
$$\|\mathbf{u}\|_{H^2(\Omega)} + \lambda \|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)} \lesssim \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)}.$$

198 *Proof.* The existence and uniqueness of the elasticity problem (1) was established  
 199 in [29]. By Hypothesis 4.1, there exists  $\mathbf{z} \in H^3(\Omega)^d$  satisfying (17) and (18). Then  
 200  $\tilde{\mathbf{u}} = \mathbf{u} - \nabla \times \mathbf{z} \in H^2(\Omega)^d$  satisfies

201 (21) 
$$-\nabla \cdot (2\mu\varepsilon(\tilde{\mathbf{u}}) + \lambda(\nabla \cdot \tilde{\mathbf{u}})\mathbf{I}) = \tilde{\mathbf{f}} \quad \text{in } \Omega,$$

202 (22) 
$$\tilde{\mathbf{u}} = \mathbf{0} \quad \text{on } \Gamma^D,$$

203 (23) 
$$-(2\mu\varepsilon(\tilde{\mathbf{u}}) + \lambda(\nabla \cdot \tilde{\mathbf{u}})\mathbf{I})\mathbf{n} = \tilde{\mathbf{t}}_N \quad \text{on } \Gamma^N,$$

204 where

205 
$$\tilde{\mathbf{f}} = \mathbf{f} + \nabla \cdot (2\mu\varepsilon(\nabla \times \mathbf{z}) + \lambda(\nabla \cdot (\nabla \times \mathbf{z}))\mathbf{I}),$$

206 
$$\tilde{\mathbf{t}}_N = \mathbf{t}_N + (2\mu\varepsilon(\nabla \times \mathbf{z}) + \lambda\nabla \cdot (\nabla \times \mathbf{z})\mathbf{I})\mathbf{n}.$$

207 By (18) and the triangle inequality, we have

208 
$$\|\tilde{\mathbf{f}}\|_{L^2(\Omega)} \leq \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{z}\|_{H^3(\Omega)}$$
  
 209 (24) 
$$\lesssim \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)}.$$

210 By (18), the trace inequality, and the triangle inequality, we have

$$\begin{aligned}
211 \quad & \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \leq \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} + \|\mathbf{z}\|_{H^3(\Omega)} \\
212 \quad (25) \quad & \lesssim \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)}.
\end{aligned}$$

213 Another round use of the triangle inequality yields

$$\begin{aligned}
214 \quad & \|\mathbf{u}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)} \\
215 \quad & \leq \|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)} + \|(\nabla \times \mathbf{z})\|_{H^2(\Omega)} + \lambda\|\nabla \cdot (\nabla \times \mathbf{z})\|_{H^1(\Omega)} \\
216 \quad (26) \quad & \leq \|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)} + \|\mathbf{z}\|_{H^3(\Omega)},
\end{aligned}$$

217 where we have used the fact that  $\nabla \cdot (\nabla \times \mathbf{z}) = 0$ .

218 It is now clear that the claimed result of the theorem can be derived from (18),  
219 (26), and the following regularity estimate about  $\tilde{\mathbf{u}}$ :

$$220 \quad (27) \quad \|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)} \lesssim \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)}.$$

221 The rest of the proof is devoted to a derivation of (27).

222 Let  $H_D^1(\Omega) = \{\mathbf{w} \in H^1(\Omega) : \mathbf{w}|_{\Gamma^D} = \mathbf{0}\}$ . Multiplying both sides of (21) by  
223  $\mathbf{w} \in H_D^1(\Omega)$ , we obtain

$$224 \quad (28) \quad 2\mu(\varepsilon(\tilde{\mathbf{u}}), \varepsilon(\mathbf{w})) + \lambda(\nabla \cdot \tilde{\mathbf{u}}, \nabla \cdot \mathbf{w}) = (\tilde{\mathbf{f}}, \mathbf{w}) - \langle \tilde{\mathbf{t}}_N, \mathbf{w} \rangle_{\Gamma^N}.$$

225 Then we take  $\mathbf{w} = \tilde{\mathbf{u}}$  and apply the trace inequality to obtain

$$\begin{aligned}
226 \quad & 2\mu\|\varepsilon(\tilde{\mathbf{u}})\|_{L^2(\Omega)}^2 \leq \|\tilde{\mathbf{f}}\|_{L^2(\Omega)}\|\tilde{\mathbf{u}}\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{L^2(\Gamma^N)}\|\tilde{\mathbf{u}}\|_{L^2(\Gamma^N)} \\
227 \quad & \lesssim \|\tilde{\mathbf{f}}\|_{L^2(\Omega)}\|\tilde{\mathbf{u}}\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{L^2(\Gamma^N)}\|\tilde{\mathbf{u}}\|_{H^1(\Omega)}.
\end{aligned}$$

228 Since  $\tilde{\mathbf{u}} \in H_D^1(\Omega)$ , the Korn's inequality [8] implies that

$$229 \quad (29) \quad \|\tilde{\mathbf{u}}\|_{H^1(\Omega)} \lesssim \|\varepsilon(\tilde{\mathbf{u}})\|_{L^2(\Omega)} \lesssim \|\tilde{\mathbf{f}}\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{L^2(\Gamma^N)}.$$

230 By Lemma 1, there exists  $\mathbf{w}^* \in H^1(\Omega)^d$  such that

$$231 \quad (30) \quad \nabla \cdot \mathbf{w}^* = \nabla \cdot \tilde{\mathbf{u}} \quad \text{and} \quad \|\mathbf{w}^*\|_{H^1(\Omega)} \lesssim \|\nabla \cdot \tilde{\mathbf{u}}\|_{L^2(\Omega)}.$$

232 Then we multiply both sides of (21) by the above  $\mathbf{w}^*$  to obtain

$$\begin{aligned}
233 \quad & 2\mu(\varepsilon(\tilde{\mathbf{u}}), \varepsilon(\mathbf{w}^*)) + \lambda(\nabla \cdot \tilde{\mathbf{u}}, \nabla \cdot \mathbf{w}^*) \\
234 \quad & = (\tilde{\mathbf{f}}, \mathbf{w}^*) + \langle 2\mu(\varepsilon(\tilde{\mathbf{u}}) + \lambda(\nabla \cdot \tilde{\mathbf{u}})\mathbf{I})\mathbf{n}, \mathbf{w}^* \rangle_{\Gamma^D} - \langle \tilde{\mathbf{t}}_N, \mathbf{w}^* \rangle_{\Gamma^N}.
\end{aligned}$$

235 It follows from (30) that

$$\begin{aligned}
236 \quad & \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{L^2(\Omega)}^2 \leq \|\tilde{\mathbf{f}}\|_{L^2(\Omega)}\|\mathbf{w}^*\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{L^2(\Gamma^N)}\|\mathbf{w}^*\|_{L^2(\Gamma^N)} \\
237 \quad & \quad + (2\mu\|\varepsilon(\tilde{\mathbf{u}})\|_{L^2(\Gamma^D)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{L^2(\Gamma^D)})\|\mathbf{w}^*\|_{L^2(\Gamma^D)}.
\end{aligned}$$

238 Consider the case  $\lambda \rightarrow \infty$ . We apply the Young's inequality, (30), and (29) to  
239 obtain

$$\begin{aligned}
240 \quad & \|\tilde{\mathbf{u}}\|_{H^1(\Omega)}^2 + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{L^2(\Omega)}^2 \lesssim \|\tilde{\mathbf{f}}\|_{L^2(\Omega)}^2 + \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)}^2 \\
241 \quad (31) \quad & \quad + \frac{1}{9} (2\mu\|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)})^2.
\end{aligned}$$

242 Again by Lemma 1, there exists  $\psi \in H^2(\Omega)$  such that

$$243 \quad \nabla \cdot \boldsymbol{\psi} = \nabla \cdot \tilde{\mathbf{u}} \quad \text{and} \quad \|\boldsymbol{\psi}\|_{H^2(\Omega)} \lesssim \|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)}.$$

244 Together with (31), this implies that

$$245 \quad \|\boldsymbol{\psi}\|_{H^2(\Omega)} \lesssim |\nabla \cdot \tilde{\mathbf{u}}|_{H^1(\Omega)} + \frac{1}{\sqrt{\lambda}} \left( \|\tilde{\mathbf{f}}\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right) \\ 246 \quad (32) \quad + \frac{1}{3\sqrt{\lambda}} (2\mu\|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)}).$$

247 Note that Equation (21)-(23) can be rewritten as

$$248 \quad (33) \quad -\mu\Delta\tilde{\mathbf{u}} - (\mu + \lambda)\nabla(\nabla \cdot \tilde{\mathbf{u}}) = \tilde{\mathbf{f}} \quad \text{in } \Omega,$$

$$249 \quad (34) \quad \tilde{\mathbf{u}} = \mathbf{0} \quad \text{on } \Gamma^D,$$

$$250 \quad (35) \quad -2\mu\varepsilon(\tilde{\mathbf{u}}) + \lambda\nabla \cdot \tilde{\mathbf{u}}\mathbf{I}\mathbf{n} = \tilde{\mathbf{t}}_N \quad \text{on } \Gamma^N.$$

251 We set

$$252 \quad (36) \quad \hat{\mathbf{u}} = \tilde{\mathbf{u}} - \boldsymbol{\psi},$$

$$253 \quad (37) \quad p = -\left(\frac{\mu + \lambda}{\mu}\right)\nabla \cdot \tilde{\mathbf{u}}.$$

254 Then  $(\hat{\mathbf{u}}, p) \in H^2(\Omega)^d \times H^1(\Omega)$  is the solution of the following Stokes problem

$$255 \quad -\Delta\hat{\mathbf{u}} + \nabla p = \frac{1}{\mu}\tilde{\mathbf{f}} + \Delta\boldsymbol{\psi} \quad \text{in } \Omega,$$

$$256 \quad \nabla \cdot \hat{\mathbf{u}} = 0 \quad \text{in } \Omega,$$

$$257 \quad \hat{\mathbf{u}} = -\boldsymbol{\psi} \quad \text{on } \Gamma^D,$$

$$258 \quad -\left(2\mu\varepsilon(\hat{\mathbf{u}}) + \frac{\mu\lambda}{\mu + \lambda}p\right)\mathbf{n} = \tilde{\mathbf{t}}_N + 2\mu\varepsilon(\boldsymbol{\psi})\mathbf{n} \quad \text{on } \Gamma^N.$$

259 By the regularity of Stokes problems [11], we have

$$260 \quad (38) \quad \|\hat{\mathbf{u}}\|_{H^2(\Omega)} + \|p\|_{H^1(\Omega)} \\ 261 \quad \lesssim \|\tilde{\mathbf{f}}\|_{L^2(\Omega)} + \|\Delta\boldsymbol{\psi}\|_{L^2(\Omega)} + \|\boldsymbol{\psi}\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} + \|\varepsilon(\boldsymbol{\psi})\|_{H^{\frac{1}{2}}(\Gamma^N)}.$$

262 Substituting (36) and (37) into (38) and using the trace inequality and (32), we obtain

$$263 \quad \|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \frac{\mu + \lambda}{\mu}\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)} \\ 264 \quad \lesssim \|\tilde{\mathbf{f}}\|_{L^2(\Omega)} + \|\boldsymbol{\psi}\|_{H^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \\ 265 \quad \lesssim \|\tilde{\mathbf{f}}\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} + |\nabla \cdot \tilde{\mathbf{u}}|_{H^1(\Omega)} \\ 266 \quad + \frac{1}{\sqrt{\lambda}} \left( \|\tilde{\mathbf{f}}\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right) \\ 267 \quad + \frac{1}{3\sqrt{\lambda}} (2\mu\|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)}).$$

268 Together with the fact that  $\lambda \rightarrow \infty$ , we get

$$269 \quad (39) \quad \|\tilde{\mathbf{u}}\|_{H^2(\Omega)} + \lambda\|\nabla \cdot \tilde{\mathbf{u}}\|_{H^1(\Omega)} \lesssim \|\tilde{\mathbf{f}}\|_{L^2(\Omega)} + \|\tilde{\mathbf{t}}_N\|_{H^{\frac{1}{2}}(\Gamma^N)}.$$

270 This estimate combined with (24) and (25) produces the desired inequality (27).  $\square$



271 **4.2. Energy Norm Error Estimate.** Since  $V_h^{0,D} \subset H^1(\Omega)^d$  and  $\mathbf{v}_h|_{\Gamma_h^D} =$   
 272  $\mathbf{0}$ ,  $\forall \mathbf{v}_h \in V_h^{0,D}$ , it follows from [8] that

$$273 \quad \|\mathbf{v}_h\|_{H^1(\Omega)}^2 \leq \|\mathbf{v}_h\|_h^2 := \mathcal{A}_h(\mathbf{v}_h, \mathbf{v}_h).$$

274 In other words,  $\|\cdot\|_h$  is a norm on  $V_h^{0,D}$ .

275 **THEOREM 3.** Let  $\mathbf{u} \in H^2(\Omega)^d$  be the exact solution of (1) and  $\mathbf{u}_h \in V_h$  be the  
 276 finite element solution obtained from (14). There holds

$$277 \quad (40) \quad \|\mathbf{u} - \mathbf{u}_h\|_h \lesssim h \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right).$$

278 *Proof.* Let  $\mathbf{u}_h^* \in V_h$  be the  $\mathcal{A}_h(\cdot, \cdot)$ -orthogonal projection of  $\mathbf{u}$  [10, 16, 28] such  
 279 that  $\mathbf{u}_h^*|_{\Gamma_h^D} = \mathbf{u}_{D,h}$  and

$$280 \quad (41) \quad \mathcal{A}_h(\mathbf{u} - \mathbf{u}_h^*, \mathbf{v}_h) = 0, \quad \forall \mathbf{v}_h \in V_h^{0,D}.$$

281 For any  $\mathbf{v}_h \in V_h$ , it follows from the definition of  $\|\cdot\|_h$  that

$$282 \quad \|\mathbf{u} - \mathbf{u}_h^*\|_h^2 = \mathcal{A}_h(\mathbf{u} - \mathbf{u}_h^*, \mathbf{u} - \mathbf{u}_h^*) \\ 283 \quad = \mathcal{A}_h(\mathbf{u} - \mathbf{u}_h^*, \mathbf{u} - \mathbf{v}_h) + \mathcal{A}_h(\mathbf{u} - \mathbf{u}_h^*, \mathbf{v}_h - \mathbf{u}_h^*).$$

284 Let  $\mathbf{v}_h|_{\Gamma_h^D} = \mathbf{u}_h^*|_{\Gamma_h^D} = \mathbf{u}_{D,h}$ . Since  $\mathbf{v}_h - \mathbf{u}_h^* \in V_h^{0,D}$ , we derive from (41) that

$$285 \quad \|\mathbf{u} - \mathbf{u}_h^*\|_h \leq \inf_{\mathbf{v}_h \in V_h, \mathbf{v}_h|_{\Gamma_h^D} = \mathbf{u}_{D,h}} \|\mathbf{u} - \mathbf{v}_h\|_h.$$

286 It is clear that

$$287 \quad \|\mathbf{u} - \mathbf{u}_h\|_h \leq \|\mathbf{u} - \mathbf{u}_h^*\|_h + \|\mathbf{u}_h^* - \mathbf{u}_h\|_h \\ 288 \quad \leq \inf_{\mathbf{v}_h \in V_h, \mathbf{v}_h|_{\Gamma_h^D} = \mathbf{u}_{D,h}} \|\mathbf{u} - \mathbf{v}_h\|_h + \sup_{\mathbf{w}_h \in V_h^{0,D} \setminus \{\mathbf{0}\}} \frac{|\mathcal{A}_h(\mathbf{u}_h^* - \mathbf{u}_h, \mathbf{w}_h)|}{\|\mathbf{w}_h\|_h} \\ 289 \quad (42) \quad \leq \inf_{\mathbf{v}_h \in V_h, \mathbf{v}_h|_{\Gamma_h^D} = \mathbf{u}_{D,h}} \|\mathbf{u} - \mathbf{v}_h\|_h + \sup_{\mathbf{w}_h \in V_h^{0,D} \setminus \{\mathbf{0}\}} \frac{|\mathcal{A}_h(\mathbf{u}, \mathbf{w}_h) - \mathcal{F}(\mathbf{w}_h)|}{\|\mathbf{w}_h\|_h}.$$

290 To estimate the first term, we utilize the nice property stated in (12) about the  
 291 interpolant  $\Pi_h \mathbf{u}$  and the approximation property

$$292 \quad \|\varepsilon(\mathbf{u} - \Pi_h \mathbf{u})\|_{L^2(\Omega)} \lesssim h \|\mathbf{u}\|_{H^2(\Omega)}$$

293 to obtain

$$294 \quad \|\mathbf{u} - \Pi_h \mathbf{u}\|_h^2 \\ 295 \quad = 2\mu \|\varepsilon(\mathbf{u} - \Pi_h \mathbf{u})\|_{L^2(\Omega)}^2 + \lambda \|\overline{\nabla} \cdot (\mathbf{u} - \Pi_h \mathbf{u})\|_{L^2(\Omega)}^2 \\ 296 \quad = 2\mu \|\varepsilon(\mathbf{u} - \Pi_h \mathbf{u})\|_{L^2(\Omega)}^2 \lesssim h^2 \|\mathbf{u}\|_{H^2(\Omega)}^2.$$

297 Then we have

$$298 \quad \inf_{\mathbf{v}_h \in V_h, \mathbf{v}_h|_{\Gamma_h^D} = \mathbf{u}_{D,h}} \|\mathbf{u} - \mathbf{v}_h\|_h \leq \|\mathbf{u} - \Pi_h \mathbf{u}\|_h \lesssim h \|\mathbf{u}\|_{H^2(\Omega)} \\ 299 \quad \lesssim h \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right).$$

300 For the second term, we proceed as follows. By integration by parts, we have

$$\begin{aligned}
301 \quad \mathcal{F}_h(\mathbf{w}) &= (\mathbf{f}, \mathbf{w})_{\mathcal{T}_h} + \text{Neumann boundary condition} \\
302 &= (-\nabla \cdot \sigma(\mathbf{u}), \mathbf{w})_{\mathcal{T}_h} + \text{Neumann boundary condition} \\
303 &= (\sigma(\mathbf{u}), \nabla \mathbf{w})_{\mathcal{T}_h} + \text{More boundary terms} \\
304 &= 2\mu(\varepsilon(\mathbf{u}), \varepsilon(\mathbf{w}))_{\mathcal{T}_h} + \lambda(\nabla \cdot \mathbf{u}, \nabla \cdot \mathbf{w})_{\mathcal{T}_h}.
\end{aligned}$$

305 Applying the fact that  $\overline{\nabla \cdot \mathbf{w}}$  is an elementwise constant, we have

$$\begin{aligned}
306 \quad &|\mathcal{A}_h(\mathbf{u}, \mathbf{w}) - \mathcal{F}_h(\mathbf{w})| \\
307 &= \left| \lambda(\overline{\nabla \cdot \mathbf{u}}, \overline{\nabla \cdot \mathbf{w}}) - \lambda(\nabla \cdot \mathbf{u}, \nabla \cdot \mathbf{w}) \right| \\
308 &= \lambda \left| (\overline{\nabla \cdot \mathbf{u}} - \nabla \cdot \mathbf{u}, \nabla \cdot \mathbf{w}) \right| \\
309 &\leq \lambda \|\overline{\nabla \cdot \mathbf{u}} - \nabla \cdot \mathbf{u}\|_{L^2(\Omega)} \|\nabla \cdot \mathbf{w}\|_{L^2(\Omega)} \\
310 &\lesssim \lambda h \|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)} \|\nabla \cdot \mathbf{w}\|_{L^2(\Omega)}.
\end{aligned}$$

311 Here for the last two lines, we have used the Cauchy-Schwarz inequality and the  
312 approximation property

$$313 \quad \|\overline{\nabla \cdot \mathbf{u}} - \nabla \cdot \mathbf{u}\|_{L^2(\Omega)} \lesssim h \|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)}.$$

314 Since

$$315 \quad \sqrt{\lambda} \|\nabla \cdot \mathbf{w}\|_{L^2(\Omega)} \leq \|\mathbf{w}\|_h,$$

316 we have

$$\begin{aligned}
317 \quad \sup_{\mathbf{w} \in V_h^{0,D} \setminus \{\mathbf{0}\}} \frac{|\mathcal{A}_h(\mathbf{u}, \mathbf{w}) - \mathcal{F}_h(\mathbf{w})|}{\|\mathbf{w}\|_h} &\lesssim \sup_{\mathbf{w} \in V_h^{0,D} \setminus \{\mathbf{0}\}} \frac{\lambda h \|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)} \|\nabla \cdot \mathbf{w}\|_{L^2(\Omega)}}{\|\mathbf{w}\|_h} \\
318 &\leq h \sqrt{\lambda} \|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)} \lesssim h \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right).
\end{aligned}$$

319 Here for the last step, we have used Theorem 2 (regularity of the exact solution).

320 Combining the estimates for these two terms gives the result in the theorem.  $\square$

321 **4.3.  $L^2$ -norm Error Estimate.** This subsection presents an  $L^2$ -norm error  
322 estimate for the numerical displacement based on a duality argument. We conduct  
323 a complete analysis for a general elasticity boundary value problem that has both  
324 Dirichlet and Neumann conditions. This involves details that are usually not found  
325 in the literature.

326 **THEOREM 4.** *Let  $\mathbf{u} \in H^2(\Omega)^d$  be the exact solution of (1) and  $\mathbf{u}_h \in V_h$  be the*  
327 *finite element solution obtained from (14). There holds*

$$328 \quad \|\mathbf{u} - \mathbf{u}_h\|_{L^2(\Omega)} \lesssim h^2 \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right).$$

329 *Proof.* Assume that  $\mathbf{u}_D$  and  $\mathbf{t}_N$  satisfy Hypothesis 4.1, that is, there exists a  
330 function  $\mathbf{z} \in H^3(\Omega)^d$  satisfying (17) and (18). It is obvious that  $\tilde{\mathbf{u}} = \mathbf{u} - \nabla \times \mathbf{z}$  is

331 the solution to the boundary value problem (21)-(23), which involves a homogeneous  
 332 Dirichlet condition. We consider also  $\tilde{\mathbf{u}}_h = \mathbf{u}_h - \Pi_h(\nabla \times \mathbf{z})$ . It is clear that

$$333 \quad \|\mathbf{u} - \mathbf{u}_h\|_{L^2(\Omega)} \leq \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_{L^2(\Omega)} + \|\nabla \times \mathbf{z} - \Pi_h(\nabla \times \mathbf{z})\|_{L^2(\Omega)}$$

$$334 \quad (43) \quad \lesssim \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_{L^2(\Omega)} + h^2 \|\mathbf{z}\|_{H^3(\Omega)}.$$

335 On the other hand, by (12), Theorem 3, and (18), we have,

$$336 \quad \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_h$$

$$337 \quad \leq \|\mathbf{u} - \mathbf{u}_h\|_h + \|\nabla \times \mathbf{z} - \Pi_h(\nabla \times \mathbf{z})\|_h$$

$$338 \quad \lesssim \|\mathbf{u} - \mathbf{u}_h\|_h + \|\varepsilon(\nabla \times \mathbf{z} - \Pi_h(\nabla \times \mathbf{z}))\| + \sqrt{\lambda} \|\overline{\nabla \cdot (\nabla \times \mathbf{z} - \Pi_h(\nabla \times \mathbf{z}))}\|$$

$$339 \quad \lesssim \|\mathbf{u} - \mathbf{u}_h\|_h + h \|\mathbf{z}\|_{H^3(\Omega)}$$

$$340 \quad (44) \quad \lesssim h \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right).$$

341 Assume that  $\zeta \in H^2(\Omega)^d$  is the solution of the following dual problem

$$342 \quad -\nabla \cdot (2\mu\varepsilon(\zeta) + \lambda(\nabla \cdot \zeta)\mathbf{I}) = \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h \quad \text{in } \Omega,$$

$$343 \quad \zeta = \mathbf{0} \quad \text{on } \Gamma^D,$$

$$344 \quad (2\mu\varepsilon(\zeta) + \lambda\nabla \cdot \zeta)\mathbf{n} = \mathbf{0} \quad \text{on } \Gamma^N$$

345 with dual regularity

$$346 \quad (45) \quad \|\zeta\|_{H^2(\Omega)} + \lambda \|\nabla \cdot \zeta\|_{H^1(\Omega)} \lesssim \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_{L^2(\Omega)}.$$

347 For convenience, we define

$$348 \quad \mathcal{A}(\zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h) = 2\mu(\varepsilon(\zeta), \varepsilon(\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)) + \lambda(\nabla \cdot \zeta, \nabla \cdot (\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)).$$

349 We use the fact that  $(\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)|_{\Gamma_h^D} = \mathbf{0}$  to obtain

$$350 \quad \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_{L^2(\Omega)}^2 = (-\nabla \cdot (2\mu\varepsilon(\zeta) + \lambda(\nabla \cdot \zeta)\mathbf{I}), \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)$$

$$351 \quad = \mathcal{A}(\zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h).$$

352 Accordingly, we split the latter into three parts as follows

$$353 \quad \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_{L^2(\Omega)}^2 = \left( \mathcal{A}(\zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h) - \mathcal{A}_h(\zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h) \right)$$

$$354 \quad + \mathcal{A}_h(\zeta - \Pi_h(\zeta), \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h) + \mathcal{A}_h(\Pi_h(\zeta), \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)$$

$$355 \quad (46) \quad =: I + II + III.$$

356 Next we estimate each of these three terms.

357 For Term I, it follows from the projection inequality, (44), and (45) that

$$358 \quad \mathcal{A}(\zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h) - \mathcal{A}_h(\zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)$$

$$359 \quad = 2\mu(\varepsilon(\zeta), \varepsilon(\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)) + \lambda(\nabla \cdot \zeta, \nabla \cdot (\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h))$$

$$360 \quad - 2\mu(\varepsilon(\zeta), \varepsilon(\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)) - \lambda(\overline{\nabla \cdot \zeta}, \overline{\nabla \cdot (\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)})$$

$$361 \quad = \lambda(\nabla \cdot \zeta - \overline{\nabla \cdot \zeta}, \nabla \cdot (\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h))$$

$$362 \quad \lesssim \lambda h \|\nabla \cdot \zeta\|_{H^1(\Omega)} \|\varepsilon(\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)\|_{L^2(\Omega)}$$

$$363 \quad \lesssim \lambda h \|\nabla \cdot \zeta\|_{H^1(\Omega)} \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_h$$

$$364 \quad (47) \quad \lesssim h^2 \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right) \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_{L^2(\Omega)}.$$

365 For Term II, we use (12), the projection inequality, (44), and (45) to obtain

$$\begin{aligned}
366 & \mathcal{A}_h(\zeta - \Pi_h \zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h) \\
367 & = 2\mu(\varepsilon(\zeta - \Pi_h \zeta), \varepsilon(\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)) + \lambda(\overline{\nabla \cdot (\zeta - \Pi_h \zeta)}, \overline{\nabla \cdot (\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h)}) \\
368 & \lesssim h \|\zeta\|_{H^2(\Omega)} \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_h \\
369 \quad (48) & \lesssim h^2 \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right) \|\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h\|_{L^2(\Omega)}.
\end{aligned}$$

370 For Term III, we test (1) with  $\Pi_h \zeta$  and use the fact  $(\Pi_h \zeta)|_{\Gamma^D} = \mathbf{0}$  to obtain

$$371 \quad \mathcal{F}_h(\Pi_h \zeta) = 2\mu(\varepsilon(\mathbf{u}), \varepsilon(\Pi_h \zeta)) + \lambda(\nabla \cdot \mathbf{u}, \nabla \cdot (\Pi_h \zeta)).$$

372 Then we use (12), (18), the projection inequality, Theorem 2, and (45) to derive

$$\begin{aligned}
373 & \mathcal{A}_h(\Pi_h \zeta, \tilde{\mathbf{u}} - \tilde{\mathbf{u}}_h) \\
374 & = \mathcal{A}_h(\Pi_h \zeta, \mathbf{u}) - \mathcal{F}_h(\Pi_h \zeta) + \mathcal{A}_h(\Pi_h \zeta, \Pi_h(\nabla \times \mathbf{z}) - \nabla \times \mathbf{z}) \\
375 & = \lambda(\overline{\nabla \cdot \mathbf{u}}, \overline{\nabla \cdot (\Pi_h \zeta)}) - \lambda(\nabla \cdot \mathbf{u}, \nabla \cdot (\Pi_h \zeta)) \\
376 & \quad + 2\mu(\varepsilon(\Pi_h \zeta), \varepsilon(\Pi_h(\nabla \times \mathbf{z}) - \nabla \times \mathbf{z})) \\
377 & = \lambda(\overline{\nabla \cdot \mathbf{u}} - \nabla \cdot \mathbf{u}, \nabla \cdot (\Pi_h \zeta) - \overline{\nabla \cdot (\Pi_h \zeta)}) \\
378 & \quad + 2\mu(\varepsilon(\Pi_h \zeta - \overline{\Pi_h \zeta}), \varepsilon(\Pi_h(\nabla \times \mathbf{z}) - \nabla \times \mathbf{z})) \\
379 & \lesssim \lambda h^2 \|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)} \|\zeta\|_{H^2(\Omega)} + h^2 \|\mathbf{z}\|_{H^3(\Omega)} \|\zeta\|_{H^2(\Omega)} \\
380 \quad (49) & \lesssim h^2 \left( \|\mathbf{f}\|_{L^2(\Omega)} + \|\mathbf{u}_D\|_{H^{\frac{3}{2}}(\Gamma^D)} + \|\mathbf{t}_N\|_{H^{\frac{1}{2}}(\Gamma^N)} \right) \|\mathbf{u} - \mathbf{u}_h\|_{L^2(\Omega)}.
\end{aligned}$$

381 Finally, the result of this theorem follows from (18), (43), and (46)-(49).  $\square$

382 **5. Numerical Experiments.** This section presents numerical experiments on  
383 three frequently tested examples to illustrate the theoretical estimates established  
384 in the previous section and demonstrate the *locking-free* property of the new finite  
385 element methods. These methods have been implemented respectively in our code  
386 packages `DarcyLite` (Matlab code for 2-dim problems) and `Darcy+` (C++ code for  
387 3-dim problems). For Matlab implementation, we use those data structures and tech-  
388 niques discussed in [24] and iFEM [13].

389 **Example 1 (Locking-free).** This example is adopted from Example 1 in [12]  
390 with some modifications for the divergence of displacement. It was tested in [20] by  
391 weak Galerkin finite element methods. A similar example was also tested in [26].

392 Here the domain is  $\Omega = (0, 1)^2$ . We set  $E = 1$  and test the example with different  
393  $\nu$  or  $\lambda$  values to show that the convergence rates do not deteriorate as  $\lambda$  gets larger.  
394 A Neumann condition is posed on the right boundary of the domain and a Dirichlet  
395 boundary condition is specified on the other three sides. A known exact solution for  
396 the displacement is

$$397 \quad \mathbf{u}(x, y) = \begin{bmatrix} (\pi/2) \sin^2(\pi x) \sin(2\pi y) \\ -(\pi/2) \sin(2\pi x) \sin^2(\pi y) \end{bmatrix} + \frac{1}{\lambda} \begin{bmatrix} \sin(\pi x) \sin(\pi y) \\ \sin(\pi x) \sin(\pi y) \end{bmatrix}.$$

398 It was discussed in [20] that

$$399 \quad \nabla \cdot \mathbf{u} = \frac{\pi}{\lambda} \cos(\pi(x+y)) = \frac{(1+\nu)(1-2\nu)}{E\nu} \pi \cos(\pi(x+y)).$$

TABLE 1  
*Example 1: Errors of renovated BR<sub>1</sub> elements applied on uniform triangular meshes*

$h$	$\ \mathbf{u} - \mathbf{u}_h\ _h$	Rate	$\ \mathbf{u} - \mathbf{u}_h\ $	Rate	$\ \sigma - \sigma_h\ $	Rate
$\nu = 0.5 - 10^{-3}$ or $\lambda = 1.6644 * 10^2$						
1/8	7.2481e-01	—	3.1416e-02	—	1.3645e+02	—
1/16	3.6185e-01	1.002	7.8010e-03	2.009	6.9662e+01	0.969
1/32	1.8095e-01	0.999	1.9474e-03	2.002	3.5026e+01	0.991
1/64	9.0507e-02	0.999	4.8677e-04	2.000	1.7542e+01	0.997
1/128	4.5265e-02	0.999	1.2170e-04	1.999	8.7757e+00	0.999
$\nu = 0.5 - 10^{-9}$ or $\lambda = 1.6667 * 10^8$						
1/8	7.2468e-01	—	3.1441e-02	—	1.3651e+08	—
1/16	3.6179e-01	1.002	7.8073e-03	2.009	6.9697e+07	0.969
1/32	1.8092e-01	0.999	1.9485e-03	2.002	3.5044e+07	0.991
1/64	9.0492e-02	0.999	4.8535e-04	2.005	1.7551e+07	0.997
1/128	4.5258e-02	0.999	1.1692e-04	2.053	8.7803e+06	0.999

400 Therefore,  $\nabla \cdot \mathbf{u} \rightarrow 0$  as  $\nu \rightarrow \frac{1}{2}$ .

401 Table 1 reports the numerical results for the renovated BR<sub>1</sub> finite element schemes  
402 on a sequence of uniform triangular meshes. It is clear that the energy norm  $\|\cdot\|_h$   
403 exhibits 1st order convergence. The  $L^2$ -norm of the displacement error exhibits 2nd  
404 order convergence. In addition, the  $L^2$ -norm of the stress error exhibits 1st order  
405 convergence. It is also clear that these convergence rates do not deteriorate as  $\lambda$  gets  
406 larger.

TABLE 2  
*Example 2 with  $\nu = 0.3$ : Errors of renovated BR<sub>1</sub> elements applied on uniform triangular meshes*

$h$	$\ \mathbf{u} - \mathbf{u}_h\ _h$	Rate	$\ \mathbf{u} - \mathbf{u}_h\ $	Rate	$\ \sigma - \sigma_h\ $	Rate
1/16	1.5234e-03	—	2.1148e-07	—	5.4367e-01	—
1/32	1.0458e-03	0.542	8.8854e-08	1.250	3.7339e-01	0.542
1/64	7.1765e-04	0.543	3.7856e-08	1.230	2.5627e-01	0.543
1/128	4.9228e-04	0.543	1.6312e-08	1.214	1.7582e-01	0.543
1/256	3.3762e-04	0.544	7.0937e-09	1.201	1.2059e-01	0.543

407 **Example 2 (Low regularity).** This example is the same as Example 2 in our  
408 recent work [20]. It is derived from [2]. This example is similar to the example posed  
409 in [1] and tested in [32] (Section 9.3 therein). In particular, we consider a  $\Gamma$ -shaped  
410 domain  $\Omega = (-1, 1)^2 \setminus ([0, 1] \times [-1, 0])$ . The physical parameters are  $E = 10^5$ ,  $\nu = 0.3$ ,  
411 and hence  $\lambda = 57692$ . The body force is  $\mathbf{f} = \mathbf{0}$ . A known analytical solution for the  
412 displacement is

$$413 \quad (50) \quad \mathbf{u} = \left[ A \cos \theta - B \sin \theta, A \sin \theta + B \cos \theta \right]^T,$$

414 where  $(r, \theta)$  are the polar coordinates and

$$415 \quad (51) \quad \begin{cases} A = \frac{r^\alpha}{2\mu} \left( -(1 + \alpha) \cos((1 + \alpha)\theta) + C_1(C_2 - 1 - \alpha) \cos((1 - \alpha)\theta) \right), \\ B = \frac{r^\alpha}{2\mu} \left( (1 + \alpha) \sin((1 + \alpha)\theta) - C_1(C_2 - 1 + \alpha) \sin((1 - \alpha)\theta) \right). \end{cases}$$

416 Here  $\alpha \approx 0.544483737$  is the so-called *critical exponent*. A Dirichlet boundary condi-  
 417 tion is posed on the whole boundary using the data derived from the exact solution.

It is known from [6, 32] that the exact solution has low regularity

$$\mathbf{u} \in H^{1+\alpha-\varepsilon}(\Omega)^2, \quad \sigma \in H^{\alpha-\varepsilon}(\Omega)^{2 \times 2}$$

for any small  $\varepsilon > 0$ . Furthermore, we have (for the same small  $\varepsilon > 0$ )

$$\mathbf{u}_D \in H^{\alpha+\frac{1}{2}-\varepsilon}(\partial\Omega)^2.$$

418 It can be clearly observed from Table 2 that the stress errors measured in the  $L^2$ -  
 419 norm and the errors in the  $h$ -norm both have convergence order about 0.544, which  
 420 is close to  $\alpha$ . But the displacement errors measured in the  $L^2$ -norm has convergence  
 421 order about 1.20. This is because the domain is not convex and the solution does not  
 422 have full regularity.

TABLE 3

Example 3 with  $\lambda = 1$ : Errors of renovated  $BR_1$  elements applied on uniform tetrahedral meshes

$h$	$\ \mathbf{u} - \mathbf{u}_h\ $	Rate	$\ \nabla \cdot \mathbf{u} - \nabla \cdot \mathbf{u}_h\ $	Rate	$\ \sigma - \sigma_h\ $	Rate
1/4	9.854E-4	—	2.390E-3	—	1.984E-2	—
1/5	7.418E-4	1.272	2.174E-3	0.424	1.729E-2	0.616
1/8	3.541E-4	1.573	1.570E-3	0.692	1.195E-2	0.785
1/10	2.386E-4	1.769	1.295E-3	0.862	9.800E-3	0.888
1/16	9.878E-5	1.876	8.336E-4	0.937	6.289E-3	0.943
1/20	6.409E-5	1.938	6.702E-4	0.977	5.060E-3	0.974
1/32	2.540E-5	1.969	4.203E-4	0.992	3.182E-3	0.986
1/40	1.631E-5	1.985	3.363E-4	0.999	2.548E-3	0.995

TABLE 4

Example 3 with  $\lambda = 10^3$ : Errors of renovated  $BR_1$  elements applied on uniform tetrahedral meshes

$h$	$\ \mathbf{u} - \mathbf{u}_h\ $	Rate	$\ \nabla \cdot \mathbf{u} - \nabla \cdot \mathbf{u}_h\ $	Rate	$\ \sigma - \sigma_h\ $	Rate
1/4	9.905E-4	—	2.460E-3	—	4.264E-0	—
1/5	7.473E-4	1.262	2.296E-3	0.309	3.979E-0	0.310
1/8	3.557E-4	1.579	1.688E-3	0.654	2.926E-0	0.654
1/10	2.389E-4	1.783	1.394E-3	0.857	2.417E-0	0.856
1/16	9.840E-5	1.887	8.954E-4	0.941	1.551E-0	0.943
1/20	6.373E-5	1.946	7.188E-4	0.984	1.246E-0	0.981
1/32	2.520E-5	1.974	4.495E-4	0.998	7.791E-1	0.999
1/40	1.617E-5	1.988	3.592E-4	1.005	6.226E-1	1.004

423 **Example 3 (A 3-dim problem).** This example is adopted from [27] with  
 424 some interesting modifications. Here we consider the unit cube  $\Omega = (0, 1)^3$ . For  
 425 convenience, we introduce three auxiliary functions:

426 
$$b_0(s) = (1 - s)^2 s^2, \quad b_1(s) = b'_0(s) = 2(1 - s)s(1 - 2s),$$

427 and

428 
$$c(x, y, z) = (1 - 6x + 6x^2)(1 - y)y(1 - z)z - 3(1 - x)^2 x^2 \left( (1 - y)y + (1 - z)z \right).$$

429 Then we specify the displacement as

$$430 \quad (52) \quad \mathbf{u}(x, y, z) = A \begin{bmatrix} 2 b_0(x) b_1(y) b_1(z) \\ - b_1(x) b_0(y) b_1(z) \\ - b_1(x) b_1(y) b_0(z) \end{bmatrix} + \frac{B}{\lambda} \begin{bmatrix} x \\ y \\ z \end{bmatrix},$$

431 where  $A, B$  are parameters for adjusting the magnitudes of the two parts in the  
 432 displacement expression. Clearly, the first part is divergence-free. The second part  
 433 generates a constant divergence that decays to zero as  $\lambda \rightarrow \infty$ . Accordingly, the body  
 434 force is

$$435 \quad (53) \quad \mathbf{f}(x, y, z) = \mu A \begin{bmatrix} -16 c(x, y, z)(1 - 2y)(1 - 2z) \\ 8 c(y, z, x)(1 - 2z)(1 - 2x) \\ 8 c(z, x, y)(1 - 2x)(1 - 2y) \end{bmatrix}.$$

436 In our numerical experiments, we set  $\mu = 1$  and consider  $\lambda = 1$  and  $\lambda = 10^3$ ,  
 437 respectively. We set  $A = B = 1$  for simplicity. A Dirichlet boundary condition is  
 438 specified on the whole boundary using the known exact solution for displacement.  
 439 Uniform tetrahedral meshes are used for tests. Shown in Table 3 and Table 4 are the  
 440 numerical results obtained from using the renovated  $BR_1$  elements. By enforcing the  
 441 Dirichlet boundary conditions in a certain way, we can maintain the symmetry in the  
 442 large-size sparse linear systems, so a conjugate gradient type linear solver can still  
 443 be used. For simplicity, we set the maximal number of iterations as 10000 and both  
 444 threshold and tolerance as  $10^{-18}$ . In Table 3 and Table 4, for two consecutive rows  
 445 with step sizes  $h_1, h_2$  and corresponding errors  $E_1, E_2$ , we use

$$446 \quad \alpha = \log_2(E_1/E_2) / \log_2(h_1/h_2)$$

447 to calculate the convergence rate. As we refine the tetrahedral meshes, it can be  
 448 observed from Table 3 and Table 4 that the convergence rates for numerical displace-  
 449 ment, dilation (divergence of displacement), and stress are close to 2, 1, 1, respectively.  
 450 These rates are maintained as  $\lambda$  is increased from 1 to  $10^3$ .

451 We also want to point out that as  $\lambda$  gets larger, the condition number of the  
 452 sparse discrete linear system gets larger and hence more iterations are needed to  
 453 reach a specified accuracy. Design of efficient linear solvers and preconditioners for  
 454 3-dim nearly incompressible elasticity problems will be an interesting topic for further  
 455 research.

456 **6. Renovation of Other Stokes Elements for Linear Elasticity.** This sec-  
 457 tion briefly examines applicability of the renovation approach developed in Section 3  
 458 to other Stokes elements. We consider four cases for triangular meshes. This allows  
 459 us to put  $(BR_1, P_0)$  in perspective.

460 (I). *The simple pair  $(P_1^2, P_0)$  is unstable for Stokes problems and hence not con-*  
 461 *sidered for renovation.* This pair uses continuous piecewise linear vector-valued poly-  
 462 nomials for approximation of velocity and (discontinuous) piecewise constants for  
 463 approximation of pressure. This pair is known to be unstable [18] but also serves as  
 464 a starting point for various enrichments.

465 (II). *The 1st order Bernardi-Raugel element pair  $(BR_1, P_0)$  is stable for Stokes*  
 466 *problems and its renovation for linear elasticity is the main topic of this paper.* By  
 467 enriching the  $P_1^2$  space with vector-valued edge bubble functions (quadratics), we

468 obtain  $BR_1$ . The corresponding approximation space for pressure is still  $P_0$ . The  
 469 increment in degrees of freedom is just the number of edges in the mesh, but we get  
 470 a stable pair. After renovation, it works well for linear elasticity (Theorems 3 and 4).

TABLE 5

Convergent numerical results obtained from applying Scheme (14) with Crouzeix-Raviart  
 ( $P_2^2, P_0$ ) elements to Example 1 (with Dirichlet boundary conditions) on uniform triangular meshes

$h$	$\ \mathbf{u} - \mathbf{u}_h\ _h$	Rate	$\ \mathbf{u} - \mathbf{u}_h\ $	Rate
$\nu = 0.5 - 10^{-3}$ or $\lambda = 1.6644 * 10^2$				
1/8	4.6164e-01	—	2.0411e-02	—
1/16	2.1957e-01	1.072	5.2398e-03	1.961
1/32	1.0869e-01	1.014	1.3346e-03	1.973
1/64	5.4336e-02	1.000	3.3687e-04	1.986
1/128	2.7199e-02	0.998	8.4618e-05	1.993
$\nu = 0.5 - 10^{-9}$ or $\lambda = 1.6667 * 10^8$				
1/8	4.6173e-01	—	2.0420e-02	—
1/16	2.1963e-01	1.072	5.2434e-03	1.961
1/32	1.0872e-01	1.014	1.3357e-03	1.972
1/64	5.4354e-02	1.000	3.3717e-04	1.986
1/128	2.7208e-02	0.998	8.5772e-05	1.974

471 (III). The Crouzeix-Raviart element pair ( $P_2^2, P_0$ ) is stable for Stokes problems and  
 472 its renovation also works for linear elasticity. This pair was discussed in [16] (p. 48).  
 473 Continuous elementwise quadratic polynomials are used for approximating velocity,  
 474 whereas discontinuous piecewise constants are used for approximating pressure. As  
 475 described in Section 3, one takes the elementwise averages for the divergences of  
 476 the twelve  $P_2^2$  basis functions and applies the finite element scheme (14) to linear  
 477 elasticity.

478 Note that the displacement is approximated by quadratic polynomials but the  
 479 dilation is approximated by piecewise constants, one can expect only a 1st order  
 480 convergence in the energy norm and a 2nd order convergence in the  $L^2$ -norm. This  
 481 is reflected in Table 5 by the numerical results obtained from testing this renovated  
 482 pair on Example 1.

483 Next we provide a brief theoretical explanation why the renovated Crouzeix-  
 484 Raviart pair ( $P_2^2, P_0$ ) works for linear elasticity. Let  $T$  be a triangle with vertices  
 485  $a_i$  ( $i = 1, 2, 3$ ) and  $a_{i,j}$ ,  $1 \leq i < j \leq 3$  be the midpoints of the edges connecting  
 486 vertices  $a_i$  and  $a_j$ . Let  $\lambda_i$  ( $i = 1, 2, 3$ ) be the barycentric coordinates. We can choose  
 487 the following  $P_2(T)^2$  basis functions for vertices and edges, respectively,

$$488 \quad \mathbf{p}_i = \lambda_i(2\lambda_i - 1), \quad 1 \leq i \leq 3,$$

$$489 \quad \mathbf{p}_{i,j} = 4\lambda_i\lambda_j, \quad 1 \leq i < j \leq 3.$$

490 A local projection operator  $\Pi_T : H^2(T)^2 \rightarrow P_2(T)^2$  is given by

$$491 \quad (54) \quad (\Pi_T \mathbf{v})(a_i) = \mathbf{v}(a_i), \quad i = 1, 2, 3,$$

$$492 \quad (55) \quad \int_{[a_i, a_j]} \Pi_T \mathbf{v} = \int_{[a_i, a_j]} \mathbf{v}, \quad 1 \leq i < j \leq 3.$$

493 For a triangular mesh  $\mathcal{T}_h$ , we define

$$494 \quad V_h = \{\mathbf{v}_h \in C^0(\Omega) : \mathbf{v}_h|_T \in P_2(T)^2, \forall T \in \mathcal{T}_h\}.$$



495 Accordingly, the global projection operator  $\Pi_h : H^2(\Omega)^2 \rightarrow V_h$  is defined by

496 
$$(\Pi_h \mathbf{v})|_T = \Pi_T \mathbf{v}, \quad \forall T \in \mathcal{T}_h.$$

497 Applying (55) and Green's formula, we obtain

498 
$$\int_T \nabla \cdot (\Pi_h \mathbf{v}) = \int_{\partial T} (\Pi_h \mathbf{v}) \cdot \mathbf{n} = \int_{\partial T} \mathbf{v} \cdot \mathbf{n} = \int_T (\nabla \cdot \mathbf{v}).$$

499 For any  $\mathbf{v} \in H^1(\Omega)^2$ , it follows from the above identity that

500 
$$\begin{aligned} \|\overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})}\|^2 &= \sum_{T \in \mathcal{T}_h} \int_T \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} dT \\ 501 &= \sum_{T \in \mathcal{T}_h} \overline{\nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v})} \int_T \nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v}) dT = 0. \end{aligned}$$

502 Based on this, Theorems 3 and 4 can be derived in a similar way for this renovated  
503 Crouzeix-Raviart element pair.

TABLE 6

Abnormal numerical results obtained from applying Scheme (14) with the MINI elements to Example 1 (with Dirichlet boundary conditions) on uniform triangular meshes

$h$	$\ \mathbf{u} - \mathbf{u}_h\ _h$	$\ \mathbf{u} - \mathbf{u}_h\ $	$\ \sigma - \sigma_h\ $	$\ \nabla \cdot \mathbf{u} - \nabla \cdot \mathbf{u}_h\ $
$\nu = 0.5 - 10^{-3}$ or $\lambda = 1.6644 * 10^2$				
1/8	3.8607e+00	8.8190e-01	8.9388e+01	3.7877e-01
1/16	3.4943e+00	7.2828e-01	5.3657e+01	2.2725e-01
1/32	2.7441e+00	4.5979e-01	4.0901e+01	1.7328e-01
1/64	1.8139e+00	2.1059e-01	3.0005e+01	1.2717e-01
1/128	1.0376e+00	7.2192e-02	1.8613e+01	7.8906e-02
$\nu = 0.5 - 10^{-9}$ or $\lambda = 1.6667 * 10^8$				
1/8	4.0193e+00	9.5535e-01	8.7270e+07	3.7026e-01
1/16	4.0267e+00	9.6023e-01	4.4149e+07	1.8731e-01
1/32	4.0286e+00	9.6149e-01	2.2139e+07	9.3929e-02
1/64	4.0291e+00	9.6180e-01	1.1078e+07	4.6999e-02
1/128	4.0292e+00	9.6186e-01	5.5399e+06	2.3504e-02

504 (IV). The MINI pair  $((P_1 + B_3)^2, P_1)$  is stable for Stokes problems but cannot be  
505 reused with Scheme (14) for linear elasticity. Different than  $BR_1$ , the MINI element  
506 enriches the  $P_1^2$  space by cubic bubble functions ( $B_3 = \text{Span}(\lambda_1 \lambda_2 \lambda_3)$ ) for element  
507 interiors [5, 17]. The matching space for pressure approximation consists of continuous  
508 piecewise linear polynomials.

509 It is not a surprise to see the abnormal numerical results in Table 6, which are  
510 obtained from using Scheme (14) with the MINI space to Example 1. Especially, for  
511 a large  $\lambda$  value ( $\lambda = 1.6667 * 10^8$ ), there is no convergence in the energy norm or  
512  $L^2$ -norm.

513 Theoretically, we can also see why Scheme (14) cannot be used with the MINI  
514 space for linear elasticity. For a triangle  $T$ , a local projection operator  $\Pi_T : H^1(T)^2 \rightarrow$   
515  $(P_1(T) + B_3)^2$  is defined as

516 
$$\Pi_T \mathbf{v} = \tilde{\Pi}_T \mathbf{v} + \begin{bmatrix} \alpha_1 \\ \alpha_2 \end{bmatrix} \lambda_1 \lambda_2 \lambda_3,$$

17

517 where  $\tilde{\Pi}_T : H^1(T)^2 \rightarrow P_1(T)^2$  is the interpolation operator,  $B_3 = \text{Span}\{\lambda_1\lambda_2\lambda_3\}$ , and

$$518 \quad \begin{bmatrix} \alpha_1 \\ \alpha_2 \end{bmatrix} = \frac{1}{\int_T \lambda_1\lambda_2\lambda_3 dT} \int_T (\mathbf{v} - \tilde{\Pi}_h \mathbf{v}) dT.$$

519 For a triangular mesh  $\mathcal{T}_h$ , we define

$$520 \quad V_h = \{\mathbf{v}_h \in C^0(\Omega)^2 : \mathbf{v}_h|_T \in (P_1(T) + B_3)^2, \forall T \in \mathcal{T}_h\}.$$

521 The global projection operator  $\Pi_h : H_0^1(\Omega)^2 \rightarrow V_h$  is given by

$$522 \quad (\Pi_h \mathbf{v})_T = \Pi_T(\mathbf{v}|_T), \quad \forall T \in \mathcal{T}_h.$$

523 The MINI element is designed with the following property

$$524 \quad \int_T (\mathbf{v} - \Pi_h \mathbf{v}) dT = 0, \quad \forall T \in \mathcal{T}_h, \quad \forall \mathbf{v} \in H_0^1(\Omega)^2.$$

525 What we need for the analysis in this paper to apply is rather

$$526 \quad \int_T \nabla \cdot (\mathbf{v} - \Pi_h \mathbf{v}) dT = 0.$$

527 Therefore, the MINI element is not to be used with Scheme (14) for linear elasticity,  
528 which is based on the primal formulation for displacement.

529 However, we want to point out that the MINI element works for elasticity in the  
530 mixed method investigated in [23].

531 **7. Concluding Remarks.** This paper presents new finite element solvers for  
532 linear elasticity on triangular and tetrahedral meshes based on renovated Bernardi-  
533 Raugel elements. These methods provide essential enrichments to the classical linear  
534 Lagrangian elements to render them *locking-free*. The new methods have 2nd order  
535 convergence in displacement and 1st order convergence in stress and dilation (diver-  
536 gence of displacement), when the exact solution has full regularity. Three frequently  
537 tested examples (in 2-dim and 3-dim) are presented to demonstrate the accuracy and  
538 robustness of these new solvers.

539 There are many other higher order stable element pairs for Stokes flow, e.g.,  
540 Taylor-Hood  $(P_2^2, P_1)$  for triangles. It is interesting to know whether and how these  
541 element pairs can be reused for linear elasticity. This is currently under our investi-  
542 gation and will be reported in our future work.

543 The methodology presented in this paper can also be extended to quadrilateral  
544 and hexahedral meshes (assuming the faces are flat or close to being flat). This is  
545 currently under our investigation and will be reported in our future work.

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