

# Mutually Unbiased Equiangular Tight Frames

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# Projective Codes

# Spherical codes: Tammes's problem (1930)

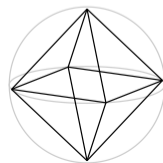
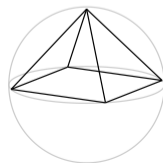
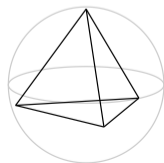
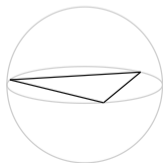
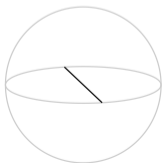
**Problem:** Find  $N$  unit vectors  $\{\mathbf{x}_n\}_{n=1}^N$  in  $\mathbb{R}^D$  that maximize

$$\min_{n_1 \neq n_2} \|\mathbf{x}_{n_1} - \mathbf{x}_{n_2}\|.$$

Equivalently, since  $\|\mathbf{x}_{n_1} - \mathbf{x}_{n_2}\|^2 = 2(1 - \min_{n_1 \neq n_2} \langle \mathbf{x}_{n_1}, \mathbf{x}_{n_2} \rangle)$ , minimize

$$\max_{n \neq n'} \langle \mathbf{x}_n, \mathbf{x}_{n'} \rangle.$$

**Example:**  $D = 3$ ,  $N = 2, 3, 4, 5, 6$ :



## Rankin's bounds (1955)

**Theorem:** When  $N \leq D + 1$ ,  $\{\text{optimal spherical codes}\} = \{\text{regular simplices}\}$ .

**Proof:** For any unit vectors  $\{\mathbf{x}_n\}_{n=1}^N$  in  $\mathbb{R}^D$ ,

$$0 \leq \left\| \sum_{n=1}^N \mathbf{x}_n \right\|^2 = \sum_{n_1=1}^N \sum_{n_2=1}^N \langle \mathbf{x}_{n_1}, \mathbf{x}_{n_2} \rangle \leq N + N(N-1) \max_{n_1 \neq n_2} \langle \mathbf{x}_{n_1}, \mathbf{x}_{n_2} \rangle.$$

**Theorem:**  $\max_{n_1 \neq n_2} \langle \mathbf{x}_{n_1}, \mathbf{x}_{n_2} \rangle \geq 0$  when  $N \geq D + 2$ . It's achievable when  $N \leq 2D$ .

**Proof:** By induction: if  $\{\mathbf{x}_n\}_{n=1}^N$  are mutually obtuse in  $\mathbb{R}^D$  then projecting  $\{\mathbf{x}_n\}_{n=1}^{N-1}$  onto  $\mathbf{x}_N^\perp$  yields  $N - 1$  mutually obtuse vectors in  $\mathbb{R}^{D-1}$ .

To achieve equality when  $N \leq 2D$ , choose  $\{\mathbf{x}_n\}_{n=1}^N$  as a subset of the **orthoplex**.

# Projective spaces

Let  $\mathbb{F}$  be either  $\mathbb{R}$  or  $\mathbb{C}$ . The **projective space** of  $\mathbb{F}^D$  is the set of its one-dimensional subspaces. We identify each with its rank-one projection:

$$P(\mathbb{F}^D) := \{\varphi\varphi^* : \varphi \in \mathbb{F}^D, \|\varphi\| = 1\}.$$

The Frobenius inner product of two such projections is

$$\langle \varphi_1\varphi_1^*, \varphi_2\varphi_2^* \rangle_{\text{Fro}} = \text{Tr}(\varphi_1\varphi_1^*\varphi_2\varphi_2^*) = \text{Tr}(\varphi_2^*\varphi_1\varphi_1^*\varphi_2) = |\langle \varphi_1, \varphi_2 \rangle|^2$$

The squared Frobenius distance between two such projections is thus

$$\|\varphi_1\varphi_1^* - \varphi_2\varphi_2^*\|_{\text{Fro}}^2 = 2(1 - \langle \varphi_1\varphi_1^*, \varphi_2\varphi_2^* \rangle_{\text{Fro}}) = 2(1 - |\langle \varphi_1, \varphi_2 \rangle|^2).$$

## Projective codes

**Problem:** Design  $N$  unit vectors  $\{\varphi_n\}_{n=1}^N$  in  $\mathbb{F}^D$  that maximize

$$\min_{n_1 \neq n_2} \|\varphi_{n_1} \varphi_{n_1}^* - \varphi_{n_2} \varphi_{n_2}^*\|^2 = \min_{n_1 \neq n_2} 2(1 - |\langle \varphi_{n_1}, \varphi_{n_2} \rangle|^2)$$

or equivalently have minimal **coherence**:

$$\text{coh}(\{\varphi_n\}_{n=1}^N) := \max_{n \neq n'} |\langle \varphi_n, \varphi_{n'} \rangle|.$$

That is, design a  $D \times N$  matrix  $\Phi = [\varphi_1 \cdots \varphi_N]$  so that its **Gram matrix**

$$\Phi^* \Phi = \begin{bmatrix} \varphi_1^* \\ \vdots \\ \varphi_N^* \end{bmatrix} [\varphi_1 \cdots \varphi_N] = \begin{bmatrix} \langle \varphi_1, \varphi_1 \rangle & \cdots & \langle \varphi_1, \varphi_N \rangle \\ \vdots & \ddots & \vdots \\ \langle \varphi_N, \varphi_1 \rangle & \cdots & \langle \varphi_N, \varphi_N \rangle \end{bmatrix}$$

has off-diagonal entries of minimal  $\infty$ -norm, subject to having 1's in its diagonal.

# Motivating applications

Spherical codes:

- ▶ Error-detecting and -correcting binary codes ( $\pm 1$ -valued real vectors)

Projective codes:

- ▶ Waveform design for communication, radar, sonar, etc.:
  - ▶ **autocorrelation** function gives coherence with own translates
  - ▶ **ambiguity** function gives coherence with own translates and modulates
  - ▶ pings, chirps, OFDM, CDMA, etc.
- ▶ Compressed sensing
- ▶ Quantum tomography: recover a  $D \times D$  self-adjoint matrix  $\mathbf{A}$  from

$$\{\varphi_n^* \mathbf{A} \varphi_n\}_{n=1}^N = \langle \varphi_n \varphi_n^*, \mathbf{A} \rangle_{\text{Fro}}$$

- ▶ Design of experiments ( $\pm 1$ -valued real vectors in  $\mathbf{1}^\perp$ )

# Embedding projective spaces into Euclidean spaces

**Idea:** Since  $\langle \varphi_1 \varphi_1^*, \varphi_2 \varphi_2^* \rangle_{\text{Fro}} = |\langle \varphi_1, \varphi_2 \rangle|^2$ , consider the mapping

$$\varphi \in \mathbb{F}^D \mapsto \mathbf{x} := \varphi \varphi^* \in \{\mathbf{A} \in \mathbb{F}^{D \times D} : \mathbf{A} = \mathbf{A}^*\} \cong \begin{cases} \mathbb{R}^{\frac{D(D+1)}{2}}, & \mathbb{F} = \mathbb{R}, \\ \mathbb{R}^{D^2}, & \mathbb{F} = \mathbb{C}. \end{cases}$$

In particular,  $\{\varphi_n\}_{n=1}^N$  has minimal coherence if  $\{\mathbf{x}_n\}_{n=1}^N$ ,  $\mathbf{x}_n := \varphi_n \varphi_n^*$  is an optimal spherical code in  $\{\mathbf{A} \in \mathbb{F}^{D \times D} : \mathbf{A} = \mathbf{A}^*\}$ . Unfortunately, it never will be.

Nevertheless, this gives the **(proto)-Gerzon bound**:

$$\text{rank}(\mathbf{X}) = \text{rank}(\mathbf{X}^* \mathbf{X}) = \text{rank}(|\Phi^* \Phi|^2) \leq \begin{cases} \frac{D(D+1)}{2}, & \mathbb{F} = \mathbb{R}, \\ D^2, & \mathbb{F} = \mathbb{C}, \end{cases}$$

where equality holds  $\Leftrightarrow$  any self-adjoint  $\mathbf{A}$  can be recovered from  $\{\varphi_n^* \mathbf{A} \varphi_n\}_{n=1}^N$ .



## Embedding projective spaces into Euclidean spaces (redux)

**Idea:** [Conway, Hardin, Sloane 96] Instead map any  $\varphi \in \mathbb{F}^D$  to

$$\mathbf{x} := \left(\frac{D}{D-1}\right)^{\frac{1}{2}}(\varphi\varphi^* - \frac{1}{D}\mathbf{I})$$

$$\text{in } \{\mathbf{A} \in \mathbb{F}^{D \times D} : \mathbf{A} = \mathbf{A}^*, \text{Tr}(\mathbf{A}) = 0\} \cong \begin{cases} \mathbb{R}^{\frac{D(D+1)}{2}-1}, & \mathbb{F} = \mathbb{R}, \\ \mathbb{R}^{D^2-1}, & \mathbb{F} = \mathbb{C}. \end{cases}$$

For any unit vectors  $\varphi_1, \varphi_2 \in \mathbb{F}^D$ ,

$$\langle \mathbf{x}_1, \mathbf{x}_2 \rangle_{\text{Fro}} = \frac{D}{D-1} \text{Tr}[(\varphi_1\varphi_1^* - \frac{1}{D}\mathbf{I})(\varphi_2\varphi_2^* - \frac{1}{D}\mathbf{I})] = \frac{D}{D-1}(|\langle \varphi_1, \varphi_2 \rangle|^2 - 1).$$

**Problem:** Design  $\{\varphi_n\}_{n=1}^N$  so that  $\{\mathbf{x}_n\}_{n=1}^N$  is an optimal spherical code.

Equiangular Tight Frames (ETFs)  
and  
Mutually Unbiased Bases (MUBs)

# The Welch bound and equiangular tight frames (ETFs)

**Theorem:** [Rankin 56, Welch 74, Conway Hardin Sloane 96]:

Let  $N > 1$ ,  $N \geq D \geq 1$ . For any  $N$  unit vectors  $\{\varphi_n\}_{n=1}^N$  in  $\mathbb{F}^D$ ,

$$\max_{n_1 \neq n_2} |\langle \varphi_{n_1}, \varphi_{n_2} \rangle| \geq \left[ \frac{N-D}{D(N-1)} \right]^{\frac{1}{2}},$$

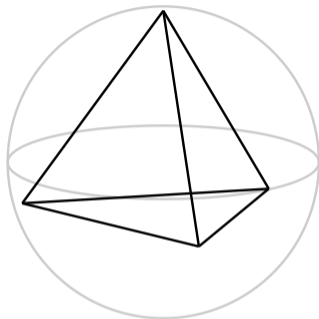
where equality holds  $\Leftrightarrow \{\varphi_n\}_{n=1}^N$  is an ETF( $D, N$ ) for  $\mathbb{F}^D$ , namely

►  $\exists A > 0$  such that  $\Phi \Phi^* = \sum_{n=1}^N \varphi_n \varphi_n^* = A \mathbf{I}$ ,

►  $\exists C \geq 0$  such that  $|(\Phi^* \Phi)(n_1, n_2)| = |\langle \varphi_{n_1}, \varphi_{n_2} \rangle| = C$  for all  $n_1 \neq n_2$ .

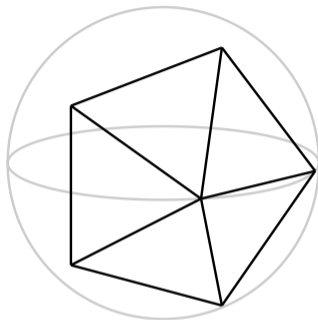
**Gerzon's bound:** If  $\exists$  ETF( $D, N$ ) then  $N \leq \begin{cases} \frac{D(D+1)}{2}, & \mathbb{F} = \mathbb{R}, \\ D^2, & \mathbb{F} = \mathbb{C}. \end{cases}$

Example:  $\mathbb{R}ETF(3, 4)$  (vertices of tetrahedron)



$$\Phi = \frac{1}{\sqrt{3}} \begin{bmatrix} + & - & + & - \\ + & + & - & - \\ + & - & - & + \end{bmatrix}, \quad \Phi\Phi^* = \frac{1}{3} \begin{bmatrix} 4 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 0 & 4 \end{bmatrix}, \quad \Phi^*\Phi = \frac{1}{3} \begin{bmatrix} 3 & - & - & - \\ - & 3 & - & - \\ - & - & 3 & - \\ - & - & - & 3 \end{bmatrix}.$$

Example:  $\mathbb{R}E\mathbb{T}F(3, 6)$  (antipodes of icosahedron)



$$\Phi\Phi^* = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{bmatrix}, \quad \Phi^*\Phi = \mathbf{I} + \frac{1}{\sqrt{5}} \begin{bmatrix} 0 & + & + & + & + & + \\ + & 0 & + & - & - & + \\ + & + & 0 & + & - & - \\ + & - & + & 0 & + & - \\ + & - & - & + & 0 & + \\ + & + & - & - & + & 0 \end{bmatrix}$$

Example:  $\mathbb{C}ETF(3, 7)$  (quadratic residues modulo 7)

$$\Phi = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & \omega & \omega^2 & \omega^3 & \omega^4 & \omega^5 & \omega^6 \\ 1 & \omega^2 & \omega^4 & \omega^6 & \omega^1 & \omega^3 & \omega^5 \\ 1 & \omega^4 & \omega & \omega^5 & \omega^2 & \omega^6 & \omega^3 \end{bmatrix}$$

$$\Phi\Phi^* = \frac{1}{3} \begin{bmatrix} 7 & 0 & 0 \\ 0 & 7 & 0 \\ 0 & 0 & 7 \end{bmatrix}$$

$$\Phi^*\Phi = \frac{1}{3} \begin{bmatrix} 1 & \zeta & \zeta & \bar{\zeta} & \zeta & \bar{\zeta} & \bar{\zeta} \\ \bar{\zeta} & 1 & \zeta & \zeta & \bar{\zeta} & \zeta & \bar{\zeta} \\ \zeta & \bar{\zeta} & 1 & \zeta & \zeta & \bar{\zeta} & \zeta \\ \zeta & \zeta & \bar{\zeta} & 1 & \zeta & \zeta & \bar{\zeta} \\ \bar{\zeta} & \zeta & \zeta & \bar{\zeta} & 1 & \zeta & \zeta \\ \zeta & \bar{\zeta} & \zeta & \bar{\zeta} & \bar{\zeta} & 1 & \zeta \\ \zeta & \zeta & \bar{\zeta} & \zeta & \bar{\zeta} & \bar{\zeta} & 1 \end{bmatrix}$$

$$\omega = \exp\left(\frac{2\pi i}{7}\right)$$

$$\zeta = 1 + \omega + \omega^4$$

## Example: $\mathbb{C}ETF(3, 9)$ (SIC-POVM)

$$\Phi = \frac{1}{\sqrt{2}} \left[ \begin{array}{ccc|ccc|ccc} 1 & \omega & \omega^2 & 0 & 0 & 0 & 1 & \omega^2 & \omega \\ 1 & \omega^2 & \omega & 1 & \omega & \omega^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & \omega^2 & \omega & 1 & \omega & \omega^2 \end{array} \right]$$

$$\Phi^* \Phi = \frac{1}{2} \left[ \begin{array}{ccc|ccc|ccc} 2 & - & - & 1 & \omega & \omega^2 & 1 & \omega^2 & \omega \\ - & 2 & - & \omega & \omega^2 & 1 & \omega^2 & \omega & 1 \\ - & - & 2 & \omega^2 & 1 & \omega & \omega & 1 & \omega^2 \\ \hline 1 & \omega^2 & \omega & 2 & - & - & 1 & \omega & \omega^2 \\ \omega^2 & \omega & 1 & - & 2 & - & \omega & \omega^2 & 1 \\ \omega & 1 & \omega^2 & - & - & 2 & \omega^2 & 1 & \omega \\ \hline 1 & \omega & \omega^2 & 1 & \omega^2 & \omega & 2 & - & - \\ \omega & \omega^2 & 1 & \omega^2 & \omega & 1 & - & 2 & - \\ \omega^2 & 1 & \omega & \omega & 1 & \omega^2 & - & - & 2 \end{array} \right]$$

$$\Phi \Phi^* = \begin{bmatrix} 3 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 3 \end{bmatrix}$$

# The orthoplex bound

**Theorem:** [Conway Hardin Sloane 96]: Let  $N > \begin{cases} \frac{D(D+1)}{2}, & \mathbb{F} = \mathbb{R}, \\ D^2, & \mathbb{F} = \mathbb{C}. \end{cases}$

Then  $\max_{n_1 \neq n_2} |\langle \varphi_{n_1}, \varphi_{n_2} \rangle| \geq \frac{1}{\sqrt{D}}$  for any  $N$  unit vectors  $\{\varphi_n\}_{n=1}^N$  in  $\mathbb{F}^D$ .

If  $\{\varphi_n\}_{n=1}^N$  achieves equality, it's an **orthoplectic Grassmannian frame (OGF)**.

**Examples:** (from the infinite families of OGFs of [Bodmann Hass 16]):

$$\exists \mathbb{R}\text{OGF}(3, 7) : \quad \Phi = \frac{1}{\sqrt{3}} \left[ \begin{array}{cccc|ccc} + & - & + & - & \sqrt{3} & 0 & 0 \\ + & + & - & - & 0 & \sqrt{3} & 0 \\ + & - & - & + & 0 & 0 & \sqrt{3} \end{array} \right]$$

$$\exists \mathbb{C}\text{OGF}(3, 10) : \quad \Phi = \frac{1}{\sqrt{3}} \left[ \begin{array}{cccccc|ccc} 1 & \omega & \omega^2 & \omega^3 & \omega^4 & \omega^5 & \omega^6 & \sqrt{3} & 0 & 0 \\ 1 & \omega^2 & \omega^4 & \omega^6 & \omega^1 & \omega^3 & \omega^5 & 0 & \sqrt{3} & 0 \\ 1 & \omega^4 & \omega & \omega^5 & \omega^2 & \omega^6 & \omega^3 & 0 & 0 & \sqrt{3} \end{array} \right]$$



# Mutually unbiased bases (MUBs)

**Definition:** A sequence of  $M$  orthonormal bases  $\{\varphi_{m,d}\}_{m=1, d=1}^M, D$  for  $\mathbb{F}^D$  are **mutually unbiased** if  $|\langle \varphi_{m_1, d_1}, \varphi_{m_2, d_2} \rangle| = \frac{1}{\sqrt{D}}$  for all  $m_1, m_2, d_1, d_2, m_1 \neq m_2$ .

**Example:**  $\exists \mathbb{R} \text{MUB}(2, 2) : \Phi = \frac{1}{\sqrt{2}} \left[ \begin{array}{cc|cc} + & + & \sqrt{2} & 0 \\ + & - & 0 & \sqrt{2} \end{array} \right]$

**Example:**  $\exists \mathbb{C} \text{MUB}(3, 4) : \Phi = \frac{1}{\sqrt{3}} \left[ \begin{array}{ccc|ccc|ccc} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & \sqrt{3} & 0 & 0 \\ 1 & \omega & \omega^2 & \omega & \omega^2 & 1 & \omega^2 & 1 & \omega & 0 & \sqrt{3} & 0 \\ 1 & \omega^2 & \omega & \omega & 1 & \omega^2 & \omega^2 & \omega & 1 & 0 & 0 & \sqrt{3} \end{array} \right]$

**Corollary of Proto-Gerzon:** If  $\exists \mathbb{F} \text{MUB}(D, M)$  then  $M \leq \begin{cases} \frac{D}{2} + 1, & \mathbb{F} = \mathbb{R}, \\ D + 1, & \mathbb{F} = \mathbb{C}. \end{cases}$

Moreover, if equality is achieved then the MUB is an OGF and any self-adjoint  $\mathbf{A}$  can be recovered from  $\{\varphi_{m,d}^* \mathbf{A} \varphi_{m,d}\}_{m=1, d=1}^M, D$ .

# Some open problems about ETFs and MUB existence

## ▶ When does an $\text{ETF}(D, N)$ exist?

- ▶ **Zauner's conjecture:** Does a  $\mathbb{C}\text{ETF}(D, D^2)$  (SIC-POVM) exist for all  $D$ ?
- ▶ Necessary integrality conditions on  $\mathbb{C}\text{ETF}(D, N)$ ?
  - ▶ [Szöllősi 14]  $\nexists \mathbb{C}\text{ETF}(3, 8)$
  - ▶ For **all known**  $\text{ETF}(D, N)$ , one member of  $\{D, N - D, N - 1\}$  divides the product of the other two.

## ▶ When does an $\text{MUB}(D, M)$ exist?

- ▶  $\exists \mathbb{C}\text{MUB}(Q, Q + 1)$  for prime power  $Q$  and  $\exists \mathbb{R}\text{MUB}(Q, \frac{Q}{2} + 1)$  for  $Q = 2, 4^j$
- ▶ if  $\exists \mathbb{F}\text{MUB}(D_1, M)$  and  $\exists \mathbb{F}\text{MUB}(D_2, M)$  then  $\exists \mathbb{F}\text{MUB}(D_1 D_2, M)$
- ▶ [Wocjan Beth 05] MUBs from MOLS
- ▶ Does a  $\mathbb{C}\text{MUB}(6, M)$  exist for  $M = 3, 4, 5, 6, 7$ ?

# Mutually Unbiased Equiangular Tight Frames (MUETFs)

## MUETFs defined

**Definition:** A sequence  $\{\psi_{m,n}\}_{m=1}^M \prod_{n=1}^N$  of unit vectors in  $\mathbb{F}^D$  is an MUETF( $D, N, M$ ) for  $\mathbb{F}^D$  if

$$|\langle \psi_{m_1, n_1}, \psi_{m_2, n_2} \rangle|^2 = \begin{cases} \frac{N-D}{D(N-1)}, & m_1 = m_2, n_1 \neq n_2, \\ \frac{1}{D}, & m_1 \neq m_2. \end{cases}$$

**Theorem:** [F Mayo 20] (Proto-Gerzon corollary) If  $\exists$  FMUETF( $D, N, M$ ) then

$$M \leq \begin{cases} \frac{D^2-1}{N-1}, & \mathbb{F} = \mathbb{C}, \\ \frac{(D-1)(D+2)}{2(N-1)}, & \mathbb{F} = \mathbb{R}. \end{cases}$$

- ▶ Reduces to Gerzon bound when  $M = 1$
- ▶ Reduces to MUB bound when  $N = D$

# Example: $\mathbb{C}MUETF(4, 5, 3)$ (F Schmitt 20)

$$\Phi = \frac{1}{2} \begin{bmatrix} 1 & \omega^3 & \omega^6 & \omega^9 & \omega^{12} & \omega & \omega^4 & \omega^7 & \omega^{10} & \omega^{13} & \omega^2 & \omega^5 & \omega^8 & \omega^{11} & \omega^{14} \\ 1 & \omega^6 & \omega^{12} & \omega^3 & \omega^9 & \omega^2 & \omega^8 & \omega^{14} & \omega^5 & \omega^{11} & \omega^4 & \omega^{10} & \omega & \omega^7 & \omega^{13} \\ 1 & \omega^9 & \omega^3 & \omega^{12} & \omega^6 & \omega^8 & \omega^2 & \omega^{11} & \omega^5 & \omega^{14} & \omega & \omega^{10} & \omega^4 & \omega^{13} & \omega^7 \\ 1 & \omega^{12} & \omega^9 & \omega^6 & \omega^3 & \omega^4 & \omega & \omega^{13} & \omega^{10} & \omega^7 & \omega^8 & \omega^5 & \omega^2 & \omega^{14} & \omega^{11} \end{bmatrix}$$

$$\omega = \exp\left(\frac{2\pi i}{15}\right)$$

$$\Phi^* \Phi = \frac{1}{4} \begin{bmatrix} 4 & -1 & -1 & -1 & -1 & \zeta & \zeta & \bar{\zeta} & -2 & \bar{\zeta} & \zeta & -2 & \zeta & \bar{\zeta} & \bar{\zeta} \\ -1 & 4 & -1 & -1 & -1 & \bar{\zeta} & \zeta & \zeta & \bar{\zeta} & -2 & \bar{\zeta} & \zeta & -2 & \zeta & \bar{\zeta} \\ -1 & -1 & 4 & -1 & -1 & -2 & \bar{\zeta} & \zeta & \zeta & \bar{\zeta} & \bar{\zeta} & \zeta & -2 & \zeta & \bar{\zeta} \\ -1 & -1 & -1 & 4 & -1 & \bar{\zeta} & -2 & \bar{\zeta} & \zeta & \zeta & \zeta & \bar{\zeta} & \zeta & -2 & \bar{\zeta} \\ -1 & -1 & -1 & -1 & 4 & \zeta & \bar{\zeta} & -2 & \bar{\zeta} & \zeta & -2 & \zeta & \bar{\zeta} & \zeta & \bar{\zeta} \\ \hline \bar{\zeta} & \zeta & -2 & \zeta & \bar{\zeta} & 4 & -1 & -1 & -1 & -1 & \zeta & \zeta & \bar{\zeta} & -2 & \bar{\zeta} \\ \bar{\zeta} & \bar{\zeta} & \zeta & -2 & \zeta & -1 & 4 & -1 & -1 & -1 & \bar{\zeta} & \zeta & \zeta & \bar{\zeta} & -2 \\ \zeta & \zeta & \bar{\zeta} & \zeta & -2 & -1 & -1 & 4 & -1 & -1 & -2 & \bar{\zeta} & \zeta & \zeta & \bar{\zeta} \\ -2 & \zeta & \bar{\zeta} & \bar{\zeta} & \zeta & -1 & -1 & -1 & 4 & -1 & \bar{\zeta} & -2 & \bar{\zeta} & \zeta & \zeta \\ \zeta & -2 & \zeta & \bar{\zeta} & \bar{\zeta} & -1 & -1 & -1 & -1 & 4 & \zeta & \bar{\zeta} & -2 & \bar{\zeta} & \zeta \\ \hline \bar{\zeta} & \bar{\zeta} & \zeta & \zeta & -2 & \bar{\zeta} & \bar{\zeta} & -2 & \zeta & \bar{\zeta} & 4 & -1 & -1 & -1 & -1 \\ -2 & \bar{\zeta} & \zeta & \zeta & \bar{\zeta} & \bar{\zeta} & \bar{\zeta} & \zeta & -2 & \zeta & -1 & 4 & -1 & -1 & -1 \\ \bar{\zeta} & -2 & \bar{\zeta} & \zeta & \zeta & \zeta & \bar{\zeta} & \bar{\zeta} & \zeta & -2 & -1 & -1 & 4 & -1 & -1 \\ \zeta & \bar{\zeta} & -2 & \bar{\zeta} & \zeta & -2 & \zeta & \bar{\zeta} & \bar{\zeta} & \zeta & -1 & -1 & -1 & 4 & -1 \\ \zeta & \zeta & \bar{\zeta} & -2 & \bar{\zeta} & \zeta & -2 & \zeta & \bar{\zeta} & \bar{\zeta} & -1 & -1 & -1 & -1 & 4 \end{bmatrix}$$

$$\zeta = \frac{1}{2}(1 + \sqrt{15}i)$$

## Why MUETFs matter

**Theorem:** [F Mayo 20] If  $\{\varphi_{n_1}\}_{n_1=1}^{N_1}$  is an ETF( $D_1, N_1$ ) for  $\mathbb{F}^{D_1}$  and  $\{\psi_{n_1, n_2}\}_{n_1=1, n_2=1}^{N_1, N_2}$  is an MUETF( $D_2, N_2, N_1$ ) for  $\mathbb{F}^{D_2}$ , and

$$\frac{N_1 - D_1}{D_1(N_1 - 1)} = \frac{N_2 - D_2}{N_2 - 1},$$

then  $\{\varphi_{n_1} \otimes \psi_{n_1, n_2}\}_{n_1=1, n_2=1}^{N_1, N_2}$  is an ETF( $D_1 D_2, N_1 N_2$ ) for  $\mathbb{F}^{D_1 D_2}$ .

**Example:** Let  $\Phi$  and  $\Psi$  be an ETF(2, 3) and an MUETF(4, 5, 3):

$$\Phi = [\varphi_1 \ \varphi_2 \ \varphi_3] \in \mathbb{C}^{2 \times 3}, \quad \Psi = [\psi_1 \ \psi_2 \ \psi_3] \in \mathbb{C}^{4 \times 12}.$$

Since  $\frac{3-2}{2(3-1)} = \frac{1}{4} = \frac{5-4}{5-1}$ ,

$[\varphi_1 \otimes \psi_1 \ \varphi_2 \otimes \psi_2 \ \varphi_3 \otimes \psi_3]$  is a CETF(8, 15).

## Towards an MUETF construction: harmonic frames

**Definition:** Let  $\Gamma$  be the  $\mathcal{G} \times \hat{\mathcal{G}}$  character table of a finite abelian group  $\mathcal{G}$ .

Restricting the characters of  $\mathcal{G}$  to  $\mathcal{D}$  and normalizing gives the **harmonic frame**

$$\{\varphi_\gamma\}_{\gamma \in \hat{\mathcal{G}}}, \quad \varphi_\gamma(d) = \frac{1}{\sqrt{D}}\gamma(d), \quad \text{i.e.,} \quad \sqrt{D}\Phi \text{ is the } \mathcal{D} \times \hat{\mathcal{G}} \text{ submatrix of } \Gamma.$$

### Facts:

- ▶ Harmonic frames are tight:  $(\Phi\Phi^*)(d_1, d_2) = \frac{1}{D}(\Gamma\Gamma^*)(d_1, d_2) = \frac{N}{D}\mathbf{I}(d_1, d_2)$ .
- ▶ Harmonic frames are unit norm with

$$|\langle \varphi_{\gamma_1}, \varphi_{\gamma_2} \rangle|^2 = \frac{1}{D} |(\Gamma^* \chi_{\mathcal{D}})(\gamma_1 \gamma_2^{-1})|^2 = \frac{1}{D} \Gamma^*(\chi_{\mathcal{D}} * \chi_{-\mathcal{D}})(\gamma_1 \gamma_2^{-1}).$$

- ▶ Here,  $\chi_{\mathcal{D}} * \chi_{-\mathcal{D}}$  is the autocorrelation of the characteristic function of  $\mathcal{D}$ :

$$(\chi_{\mathcal{D}} * \chi_{-\mathcal{D}})(g) = \#[\mathcal{D} \cap (g + \mathcal{D})] = \{(d_1, d_2) \in \mathcal{D} \times \mathcal{D} : g = d_1 - d_2\}.$$

## Towards an MUETF construction: relative difference sets

**Definition:** A subset  $\mathcal{D}$  of  $\mathcal{G}$  is a **difference set for  $\mathcal{G}$  relative to a subgroup  $\mathcal{H} \leq \mathcal{G}$**  if  $\chi_{\mathcal{D}} * \tilde{\chi}_{\mathcal{D}} = \Lambda(\chi_{\mathcal{G}} - \chi_{\mathcal{H}}) + D\delta_0$  for some  $\Lambda \geq 0$ , i.e.,

$$\{(d_1, d_2) \in \mathcal{D} \times \mathcal{D} : g = d_1 - d_2\} = \begin{cases} 0, & g \in \mathcal{H}, \\ \Lambda, & g \notin \mathcal{H}. \end{cases}$$

A **difference set** is an RDS with  $\mathcal{H} = \{0\}$ .

**Examples:**  $\mathcal{D} = \{1, 2, 8, 4\}$  is an RDS(5, 3, 4, 1) for  $\mathcal{G} = \mathbb{Z}_{15}$ ,  $\mathcal{H} = \{0, 5, 10\}$ ;  
 $\mathcal{D} = \{00, 11, 12\}$  is an RDS(3, 3, 3, 1) for  $\mathcal{G} = \mathbb{Z}_3 \times \mathbb{Z}_3$ ,  $\mathcal{H} = \{0\} \times \mathbb{Z}_3$ :

–	1	2	8	4
1	0	14	8	12
2	1	0	9	13
8	7	6	0	4
4	3	2	11	0

–	00	11	21
00	00	22	12
11	11	00	01
21	21	20	00



## Harmonic MUETFs “=” relative difference sets

**Theorem:** [F & Mayo 20] Let  $\mathcal{H}^\perp = \{\gamma \in \hat{\mathcal{G}} : \gamma(h) = 1 \forall h \in \mathcal{H}\}$ . Then

$$\{\psi_{\alpha,\beta}\}_{\alpha \in \hat{\mathcal{G}}/\mathcal{H}^\perp, \beta \in \mathcal{H}^\perp} \subseteq \mathbb{C}^{\mathcal{D}}, \quad \psi_{\alpha,\beta}(d) := \frac{1}{\sqrt{D}}\alpha(d)\beta(d),$$

is an MUETF( $D, \frac{G}{H}, H$ ) for  $\mathbb{C}^{\mathcal{D}}$  if and only if  $\mathcal{D}$  is an  $\mathcal{H}$ -RDS( $\frac{G}{H}, H, D, \Lambda$ ) for  $\mathcal{G}$ .

This is a unification and generalization of:

- ▶ [König 99, Strohmer Heath 03, Xia Zhou Giannakis 05, Ding Feng 07]  
ETF( $D, G$ ) = MUETF( $D, G, 1$ ) from DS( $G, D, \Lambda$ ) = RDS( $G, 1, D, \Lambda$ ).
- ▶ [Godsil Roy 09]  
MUB( $D, H$ ) = MUETF( $D, D, H$ ) from RDS( $\frac{G}{H}, H, D, \Lambda$ ) where  $G = HD$ .

## Putting it together

**Theorem:** [Gordon Mills Welch 62] For any prime power  $Q$  and integer  $J \geq 2$ ,  $\exists \text{RDS}(\frac{Q^J-1}{Q-1}, Q-1, Q^{J-1}, Q^{J-2})$  for  $\mathcal{G} = \mathbb{F}_{Q^J}^\times$  relative to  $\mathcal{H} = \mathbb{F}_Q^\times$ .

**Theorem:** [F Mayo 20] If an  $\text{ETF}(D, N)$  exists where  $D < N < 2D$  and

$$Q = \frac{D(N-1)}{N-D}$$

is a prime power, then for all integers  $J \geq 1$ ,  $\exists \text{CETF}(D^{(J)}, N^{(J)})$  where

$$D^{(J)} = DQ^{J-1}, \quad N^{(J)} = N\left(\frac{Q^J-1}{Q-1}\right).$$

**Note:** When applied to a harmonic ETF arising from the complement of a Singer difference set, this result yields another harmonic ETF of this same type. This theorem generalizes a difference set construction of [Gordon Mills Welch 62].

## Example: A new infinite family of ETFs from the ETF(3, 9)

The Naimark complement of an ETF(3, 9) is an ETF(6, 9) and

$$Q = \frac{D(N-1)}{N-D} = \frac{6(9-1)}{9-6} = 16$$

is a prime power. For any  $J \geq 2$ , [Gordon Mills Welch 62] gives an

$$\text{RDS}\left(\frac{1}{15}(16^J - 1), 15, 16^{J-1}, 16^{J-2}\right), \text{ i.e., an MUETF}\left(16^{J-1}, \frac{1}{5}(16^J - 1), 15\right).$$

Tensoring the ETF(6, 9) with 9 of these 15 yields an ETF( $D^{(J)}$ ,  $N^{(J)}$ ) with

$$(D^{(J)}, N^{(J)}) = (6(16^{J-1}), \frac{9}{15}(16^J - 1)) = (96, 153), (1536, 2457), \dots$$

Their Naimark complements have parameters

$$(D^{(J)}, N^{(J)}) = (57, 153), (921, 2457), \dots$$

## Takeaways and future work

- ▶ ETFs and MUBs are different types of optimal projective codes.
- ▶ MUETFs unify and generalize ETFs and MUBs into a common framework, with a common “Gerzon” bound and “difference set” equivalence.
- ▶ Tensoring an ETF with a “compatible” MUETF yields another ETF.
- ▶ Harmonic MUETFs equate to relative difference sets, and classical examples of these allow us to build an infinite family of ETF from any single  $\text{ETF}(D, N)$  where  $Q = \frac{D(N-1)}{N-D}$  is a prime power. (“Most” of these are new.)
- ▶ When do  $\text{MUETF}(D, N, M)$  with  $D < N$  (non-MUBs) exist?
  - ▶ **Every known** example of such an MUETF is complex.  
MUBs can be real, are not necessarily constructed harmonically.
  - ▶ Beyond [Gordon Mills Welch 62] we only have a  $\mathbb{C}\text{MUETF}(16, 21, 6)$ .

(Thanks! Questions?)