

# On the constructions of optimal linear codes

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## Overview

We construct some optimal linear codes over  $\mathbb{F}_q$  through projective geometry, using the geometric methods such as projective dual and geometric puncturing.

## Contents

1. Basic notions
2. Geometric method
3. Geometric puncturing from simplex codes
4. Construction of  $q$ -divisible codes
5. Open problems

# 1. Basic notions

$$\mathbb{F}_q^n = \{(a_1, a_2, \dots, a_n) \mid a_1, \dots, a_n \in \mathbb{F}_q\}.$$

For  $a = (a_1, \dots, a_n), b = (b_1, \dots, b_n) \in \mathbb{F}_q^n$ ,

the (Hamming) distance between  $a$  and  $b$  is

$$d(a, b) = |\{i \mid a_i \neq b_i\}|.$$

The weight of  $a$  is  $wt(a) = |\{i \mid a_i \neq 0\}| = d(a, \mathbf{0})$ .

An  $[n, k, d]_q$  code  $\mathcal{C}$  means a  $k$ -dimensional subspace of  $\mathbb{F}_q^n$  with minimum distance  $d$ ,

$$\begin{aligned} d &= \min\{d(a, b) \mid a \neq b, a, b \in \mathcal{C}\} \\ &= \min\{wt(a) \mid wt(a) \neq 0, a \in \mathcal{C}\}. \end{aligned}$$

The elements of  $\mathcal{C}$  are called codewords.

For an  $[n, k, d]_q$  code  $\mathcal{C}$ , a **generator matrix**  $G$  is a  $k \times n$  matrix over  $\mathbb{F}_q$  whose  $k$  rows form a basis of  $\mathcal{C}$ .

We assume  $G$  has no all-zero column.

The **weight distribution (w.d.)** of  $\mathcal{C}$  is the list of numbers  $A_i = |\{c \in \mathcal{C} \mid wt(c) = i\}|$ .

The weight distribution with

$$(A_0, A_d, \dots, A_i, \dots) = (1, \alpha, \dots, w, \dots)$$

is also expressed as

$$0^1 d^\alpha \dots i^w \dots$$

Two  $[n, k, d]_q$  codes  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are **equivalent** if there exists a monomial matrix  $M$  with entries in  $\mathbb{F}_q$  such that  $\mathcal{C}_2$  coincides with  $\mathcal{C}_1 M = \{cM \mid c \in \mathcal{C}_1\}$ .

A **good**  $[n, k, d]_q$  code will have

**small** length  $n$  for fast transmission of messages,

**large** dimension  $k$  to enable transmission of a wide variety of messages,

**large** minimum distance  $d$  to correct many errors.

### **Optimal linear codes problem.**

Optimize one of the parameters  $n$ ,  $k$ ,  $d$  for given the other two.

An  $[n, k, d]_q$  code  $\mathcal{C}$  is

**N-optimal** if  $\nexists [n-1, k, d]_q$

**K-optimal** if  $\nexists [n, k+1, d]_q$

**D-optimal** if  $\nexists [n, k, d+1]_q$ .

N-optimal codes are K-optimal and D-optimal.

**Problem 1.** Find  $n_q(k, d)$ , the minimum value of  $n$  for which an  $[n, k, d]_q$  code exists for given  $k, d, q$ .

An  $[n, k, d]_q$  code is called **optimal** if  $n = n_q(k, d)$ .

## The Griesmer bound

$$n_q(k, d) \geq g_q(k, d) := \sum_{i=0}^{k-1} \left\lceil \frac{d}{q^i} \right\rceil$$

where  $\lceil x \rceil$  is a smallest integer  $\geq x$ .

Griesmer (1960) proved for binary codes.

Solomon and Stiffler (1965) proved for all  $q$ .

A linear code attaining the Griesmer bound is called a **Griesmer code**. Griesmer codes are optimal.

Since  $n_q(k, d) = g_q(k, d)$  for  $k = 1, 2$ , we assume  $k \geq 3$ .

## Known results for small $q$

The exact values of  $n_q(k, d)$  are known for all  $d$  for

$$q = 2, k \leq 8,$$

$$q = 3, k \leq 5,$$

$$q = 4, k \leq 4,$$

$$q = 5, 7, 8, 9, k \leq 3.$$

$n_5(4, d)$  is not determined yet only for

$$d = 81, 82, 161, 162.$$

Landjev-Rousseva announced  $\exists [g_5(4, d), 4, d]_5$  codes for  $d = 82, 162$  at ALCOMA15 (March 2015). Hence

$$n_5(4, d) = g_5(4, d) + 1 \quad \text{for } d = 82, 162.$$

$$n_5(4, d) = g_5(4, d) \text{ or } g_5(4, d) + 1 \quad \text{for } d = 81, 161.$$



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<http://www.mi.s.osakafu-u.ac.jp/~maruta/griesmer.htm>.

for the  $n_q(k, d)$  tables for some small  $q$  and  $k$ .

## 2. Geometric method

$\text{PG}(r, q)$ : projective space of dim.  $r$  over  $\mathbb{F}_q$

$j$ -flat:  $j$ -dim. projective subspace of  $\text{PG}(r, q)$

$$\theta_j := |\text{PG}(j, q)| = q^j + q^{j-1} + \cdots + q + 1$$

Assume  $\mathcal{C}$  has no coordinate which is identically zero.

$G$ : a generator matrix of  $\mathcal{C}$

The columns of  $G$  can be considered as a multiset of  $n$  points in  $\Sigma = \text{PG}(k-1, q)$  denoted by  $\mathcal{M}_{\mathcal{C}}$ . Conversely,  $\mathcal{M}_{\mathcal{C}}$  gives linear codes which are equivalent to  $\mathcal{C}$ .

$\mathcal{F}_j :=$  the set of all  $j$ -flats in  $\Sigma$

$\Sigma \ni P$ :  $i$ -point  $\Leftrightarrow P$  has multiplicity  $i$  in  $\mathcal{M}_C$

$\gamma_0 = \max\{i \mid \exists P : i\text{-point in } \Sigma\}$

$C_i = \{P \in \Sigma \mid P : i\text{-point}\}$ ,  $0 \leq i \leq \gamma_0$

$\Delta_1 + \cdots + \Delta_s$ : the multiset consisting of the  $s$  sets  $\Delta_1, \cdots, \Delta_s$  in  $\Sigma$ .

$s\Delta = \Delta_1 + \cdots + \Delta_s$  when  $\Delta_1 = \cdots = \Delta_s = \Delta$ .

Then,  $\mathcal{M}_C = C_1 + 2C_2 + \cdots + \gamma_0 C_{\gamma_0}$ .

For any set  $S$  in  $\Sigma$ ,  $\mathcal{M}_C(S)$  is the multiset  $\{P \in \mathcal{M}_C \mid P \in S\}$ .

The multiplicity of  $S$ , denoted by  $m_C(S)$ , is defined as

$$m_C(S) = |\mathcal{M}_C(S)| = \sum_{i=1}^{\gamma_0} i \cdot |S \cap C_i|.$$

Then it holds that

$$\begin{aligned}n &= m_{\mathcal{C}}(\Sigma), \\n - d &= \max\{m_{\mathcal{C}}(\pi) \mid \pi \in \mathcal{F}_{k-2}\}.\end{aligned}$$

Conversely, a multiset on  $\Sigma$  satisfying the above equalities gives an  $[n, k, d]_q$  code in the natural manner.

Let  $a_i := |\{\pi \in \mathcal{F}_{k-2} \mid m_{\mathcal{C}}(\pi) = i\}|$ .

The list of  $a_i$ 's is the **spectrum** of  $\mathcal{C}$ . Note that

$$a_i = A_{n-i}/(q-1) \text{ for } 0 \leq i \leq n-d.$$

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**Example 1.** Take  $C_s = \Sigma$ , i.e.,  $\mathcal{M}_{\mathcal{C}} = s\Sigma$  with  $s \in \mathbb{N}$ ,  $\Sigma = \text{PG}(k-1, q)$ .

Then  $\mathcal{C}$  is a Griesmer  $[s\theta_{k-1}, k, sq^{k-1}]_q$  code.

Since  $m_{\mathcal{C}}(\pi) = s\theta_{k-2}$  for any  $\pi \in \mathcal{F}_{k-2}$ ,  $a_{s\theta_{k-2}} = \theta_{k-1}$  and every non-zero codeword has weight  $sq^{k-1}$ .

$\mathcal{C}$  is called an  **$s$ -fold simplex code**.

## Example 2.

Let  $\mathcal{C}$  be a  $[20, 4, 10]_2$  code with generator matrix  $G =$

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \end{bmatrix}.$$

Then  $\mathcal{C}$  is Griesmer with w.d.  $0^1 10^{11} 12^3 14^1$ .

$$C_1 = \{0010, 0011, 0100, 0101, 0110, 0111, 1000, 1001\}$$

$$C_2 = \{1010, 1011, 1100, 1101, 1110, 1111\}$$

$$\mathcal{M}_{\mathcal{C}} = C_1 + 2C_2$$

0001 is the only 0-point in  $\text{PG}(3, 2)$ .

**Q 1.** How can we construct  $G$ ?

**Lemma 1.** (Maruta-Oya, 2011)

$\mathcal{C}$ :  $[n, k, d]_q$  code

If  $\mathcal{M}_{\mathcal{C}} \supset \Delta$ : a  $t$ -flat and  $d > q^t$

$\Rightarrow \exists \mathcal{C}'$ :  $[n - \theta_t, k, d']_q$  code with  $d' \geq d - q^t$ .

The above  $\mathcal{C}'$  can be constructed from the multiset  $\mathcal{M}_{\mathcal{C}}$  by deleting  $\Delta$ . We denote the resulting multiset by  $\mathcal{M}_{\mathcal{C}'} = \mathcal{M}_{\mathcal{C}} - \Delta$ .

**Lemma 1.** (Maruta-Oya, 2011)

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The puncturing to construct new codes from a given  $[n, k, d]_q$  code by deleting the coordinates corresponding to some geometric object in  $\text{PG}(k - 1, q)$  is called [geometric puncturing](#), see

T. Maruta, Construction of optimal linear codes by geometric puncturing, *Serdica J. Computing*, **7**, 73–80, 2013.



**Lemma 1.** (Maruta-Oya, 2011)

$\mathcal{C}$ :  $[n, k, d]_q$  code

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$\Rightarrow \exists \mathcal{C}'$ :  $[n - \theta_t, k, d']_q$  code with  $d' \geq d - q^t$ .

It could happen that the punctured code  $\mathcal{C}'$  has the same minimum distance with the original code  $\mathcal{C}$ , see

I. Bouyukliev, Y. Kageyama, T. Maruta, On the minimum length of linear codes over  $\mathbb{F}_5$ , *Discrete Math.* **338**, 938–953, 2015.

**Lemma 1.** (Maruta-Oya, 2011)

$\mathcal{C}$ :  $[n, k, d]_q$  code

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$\Rightarrow \exists \mathcal{C}'$ :  $[n - \theta_t, k, d']_q$  code with  $d' \geq d - q^t$ .

**Lemma 2.** (Bouyukliev-Kageyama-M, 2015)

Let  $\mathcal{C}$  be an  $[n, k, d]_q$  code with  $a_{n-d} = 1$  such that  $a_i = 0$  for  $n - d - q^t < i < n - d$  for some  $t \in \mathbb{N}$ . Let  $H$  be the  $(n - d)$ -hyperplane. If  $\mathcal{M}_{\mathcal{C}}(H)$  contains a  $t$ -flat  $\Delta$ , then  $\mathcal{M}_{\mathcal{C}} - \Delta$  gives an  $[n - \theta_t, k, d]_q$  code.

**Example 2.** How to construct a  $[20, 4, 10]_2$  code  $\mathcal{C}$

$\mathcal{C}_0$ : 2-fold simplex  $[30, 4, 16]_2$  code

↓ geometric puncturing

$\mathcal{C}_1$ :  $[23, 4, 12]_2$  code

↓ geometric puncturing

$\mathcal{C}$ :  $[20, 4, 10]_2$  code

$$\mathcal{M}_{\mathcal{C}_0} = 2\Sigma \quad (\Sigma = \text{PG}(3, 2))$$

↓ geometric puncturing

$$\mathcal{M}_{\mathcal{C}_1} = 2\Sigma - \delta \quad (\delta: \text{a plane})$$

↓ geometric puncturing

$$\mathcal{M}_{\mathcal{C}} = 2\Sigma - (\delta + \ell) \quad (\ell: \text{a line})$$

**Q 2.** How many  $[20, 4, 10]_2$  codes are there?

We use the package [Q-Extension](#), which can be downloaded from Ilya Bouyukliev's website:

<http://www.moi.math.bas.bg/~iliya/>

for free, see

I.G. Bouyukliev, What is Q-Extension?, *Serdica J. Computing* **1** (2007) 115–130.

**Q 2.** How many  $[20, 4, 10]_2$  codes are there?

**Ans.** There are three up to equivalence:

(1)  $\mathcal{M}_C = 2\Sigma - (\delta + \ell) \quad (\ell \not\subset \delta)$

w.d.  $0^1 10^{11} 12^3 14^1$ , spec.  $(a_6, a_8, a_{10}) = (1, 3, 11)$

(2)  $\mathcal{M}_C = 2\Sigma - (\delta + \ell) \quad (\ell \subset \delta)$

w.d.  $0^1 10^{12} 12^2 16^1$ , spec.  $(a_4, a_8, a_{10}) = (1, 2, 12)$

(3)  $\mathcal{M}_C = ?$

w.d.  $0^1 10^{10} 12^5$ , spec.  $(a_8, a_{10}) = (5, 10)$

**Q 2.** How many  $[20, 4, 10]_2$  codes are there?

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**Q 3.** What is  $\mathcal{M}_C$  in (3) ? (Hint: there are **five 2-pts**)

**Ans.** (3)  $\mathcal{C}$ :  $[20, 4, 10]_2$  code with w.d.  $0^1 10^{10} 12^5$ .

**Q 3.** What is  $\mathcal{M}_{\mathcal{C}}$ ? (Hint: there are **five 2-pts**)

**Ans.** Let  $\lambda_i$  be the number of  $i$ -points. Then

- $(\lambda_0, \lambda_1, \lambda_2) = (0, 15, 5)$

- $\mathcal{M}_{\mathcal{C}} - \Sigma$  ( $\Sigma = \text{PG}(3, 2)$ )

gives an MDS  $[20 - \theta_3 = 5, 4, 10 - 2^3 = 2]_2$  code.

- $\mathcal{M}_{\mathcal{C}} = \Sigma + K$

where  $K$  is a 5-arc in  $\Sigma$ .

An  $s$ -set  $K$  in  $\text{PG}(r, q)$  is an  **$s$ -arc** if no  $r + 1$  points of  $K$  are on a hyperplane.

**Q 2.** How many  $[20, 4, 10]_2$  codes are there?

**Ans.** There are three up to equivalence:

(1)  $\mathcal{M}_C = 2\Sigma - (\delta + \ell) \quad (\ell \not\subset \delta)$

w.d.  $0^1 10^{11} 12^3 14^1$

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(3)  $\mathcal{M}_C = \Sigma + K \quad (K: 5\text{-arc})$

w.d.  $0^1 10^{10} 12^5$



**Remark.** Helleseth proved that every  $[g_2(k, d), k, d]_2$  code with  $d \leq 2^{k-1}$  is obtained from an  $s\Sigma$  by deleting some flats or adding some arc or a point, where  $\Sigma = \text{PG}(k-1, 2)$ , see

T. Helleseth, A characterization of codes meeting the Griesmer bound, *Information and Control* **50** (1981), 128–159.

**Q 2.** How many  $[20, 4, 10]_2$  codes are there?

**Ans.** There are three up to equivalence:

(1)  $\mathcal{M}_C = 2\Sigma - (\delta + \ell) \quad (\ell \not\subset \delta)$

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w.d.  $0^1 10^{10} 12^5$

**Q 4.** When can we find  $r$  flats  $\Delta_1, \dots, \Delta_r$  so that  $s\Sigma$  contains  $\Delta_1 + \dots + \Delta_r$  ?

### 3. Geometric puncturing from simplex codes

Let  $\Sigma = \text{PG}(k - 1, q)$ , let  $r, s \in \mathbb{N}$  and let  $u_1, \dots, u_r$  be integers with  $0 \leq u_r \leq u_{r-1} \leq \dots \leq u_1 \leq k - 2$ .

**Q 4.** When can we find  $u_i$ -flats  $\Delta_i$  ( $1 \leq i \leq r$ ) so that  $s\Sigma$  contains  $\Delta_1 + \dots + \Delta_r$  ?

Obvious when  $r \leq s$ .

If at most  $q - 1$  of  $u_1, \dots, u_r$  are the same value, then  $s\Sigma - (\Delta_1 + \dots + \Delta_r)$  gives a Griesmer code by Lemma 1.

Assume  $r \geq s + 1$ .

The following result was essentially proved by Belov-Logachev-Sandimirov (1974) for  $q = 2$  and by Hill (1992) for any prime power  $q$ .

**Lemma 3.**

There exist  $u_j$ -flats  $\Delta_j$  in  $\Pi$  ( $1 \leq j \leq r$ ) s.t. the multiset  $s\Sigma$  contains  $\Delta_1 + \cdots + \Delta_r$  provided

(a)  $\sum_{i=1}^{s+1} u_i \leq s(k-1) - 1$ , and

(b) # of  $i$ 's with  $u_i = u$  is at most  $N_q(k-1-u)$   
for any integer  $u$  with  $0 \leq u \leq k-2$ ,

where  $N_q(m)$  is the number of monic irreducible polynomials in  $\mathbb{F}_q[x]$  of degree  $m$ .

**Proof.** For  $m \in \mathbb{N}$ ,  $1 \leq m \leq k - 1$ , let  $\mathcal{I}_m$  be the set of irreducible monic polynomials of degree  $m$  over  $\mathbb{F}_q$ . For  $f(x) = a_0 + a_1x + \cdots + a_{m-1}x^{m-1} + x^m \in \mathcal{I}_m$ , let  $F(f)$  be the  $(k - m - 1)$ -flat containing the  $k - m$  points  $\mathbf{P}(a_0, \dots, a_{m-1}, 1, 0, \dots, 0)$ ,  $\mathbf{P}(0, a_0, \dots, a_{m-1}, 1, 0, \dots, 0)$ ,  $\dots$ ,  $\mathbf{P}(0, \dots, 0, a_0, a_1, \dots, a_{m-1}, 1)$ .

- $\mathbf{P}(b_0, b_1, \dots, b_{k-1})$  in  $\Sigma$  is in  $F(f) \Leftrightarrow$  the polynomial  $g(x) = b_0 + b_1x + \cdots + b_{k-1}x^{k-1}$  is divisible by  $f(x)$ .

From condition (b), one can find  $r$  distinct irreducible monic polynomials  $f_i \in \mathcal{I}_{k-u_i-1}$  for  $1 \leq i \leq r$ . Then  $\Delta_i := F(f_i)$  is a  $u_i$ -flat.

Since the least common multiple of any  $s + 1$  of the polynomials  $f_1, \dots, f_r$  has degree at least

$$\sum_{i=1}^{s+1} (k - u_i - 1) = (k - 1)s - 1 - \sum_{i=1}^{s+1} u_i + k \geq k$$

by the condition (a), any  $s + 1$  of  $\Delta_1, \dots, \Delta_r$  have no common point. Hence, the multiset  $s\Sigma$  contains  $\Delta_1 + \dots + \Delta_r$ . □

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**Note.** 
$$N_q(m) = \frac{1}{m} \sum_{e|m} \mu(e) q^{m/e},$$

where  $\mu(m)$  is the [Moebius function](#) defined by

$$\mu(m) = \begin{cases} 1 & \text{if } m = 1, \\ (-1)^w & \text{if } m \text{ is the product of } w \text{ distinct primes,} \\ 0 & \text{if } m \text{ is divisible by the square of a prime.} \end{cases}$$

Let  $d \in \mathbb{N}$  to construct an  $[n, k, d]_q$  code.

Since  $s$ -fold simplex  $[g_q(k, sq^{k-1}), k, sq^{k-1}]_q$  codes exist for any  $s \in \mathbb{N}$ , we assume  $d$  is not divisible by  $q^{k-1}$ .

Then,  $d$  can be uniquely expressed with  $s = \lceil d/q^{k-1} \rceil$  as

$$d = sq^{k-1} - \sum_{j=1}^r q^{u_j} \quad (1)$$

where  $r$  and  $u_j$ 's are integers satisfying

$$k - 2 \geq u_1 \geq u_2 \geq \cdots \geq u_r \geq 0, \quad (2)$$

$$u_j > u_{j+q-1} \text{ for } 1 \leq j \leq r - q + 1. \quad (3)$$

The condition (3) means that at most  $q-1$  of  $u_1, \dots, u_r$  can take any given value.



To construct a code of length  $n = s\theta_{k-1} - \sum_{i=0}^{k-2} u_i\theta_i$ , we shall make a multiset  $s\Sigma - (\Delta_1 + \cdots + \Delta_r)$  with some  $u_j$ -flats  $\Delta_j$  ( $1 \leq j \leq r$ ) if **possible**. Then, by Lemma 1, the multiset gives a  $[g_q(k, d), k, d]_q$  code.

**Q 5.** When is it **possible**?

**Ans.** (1)  $r \leq s$ .

(2)  $r \geq s + 1$  and  $\sum_{i=1}^{s+1} u_i \leq s(k - 1) - 1$  by Lemma 3 since  $N_q(m) \geq q - 1$ .

**Note.** The Griesmer codes constructed in this way are called the **Griesmer codes of Belov type**.

### Lemma 3.

There exist  $u_j$ -flats  $\Delta_j$  in  $\Pi$  ( $1 \leq j \leq r$ ) s.t. the multiset  $s\Sigma$  contains  $\Delta_1 + \cdots + \Delta_r$  provided

(a)  $\sum_{i=1}^{s+1} u_i \leq s(k-1) - 1$ , and

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To construct a code of length  $n = s\theta_{k-1} - \sum_{i=0}^{k-2} u_i\theta_i$ , we shall make a multiset  $s\Sigma - (\Delta_1 + \cdots + \Delta_r)$  with some  $u_j$ -flats  $\Delta_j$  ( $1 \leq j \leq r$ ) if **possible**. Then, by Lemma 1, the multiset gives a  $[g_q(k, d), k, d]_q$  code.

**Q 5.** When is it **possible**?

**Ans.** (1)  $r \leq s$ .

(2)  $r \geq s + 1$  and  $\sum_{i=1}^{s+1} u_i \leq s(k - 1) - 1$  by Lemma 3 since  $N_q(m) \geq q - 1$ .

**Note.** The Griesmer codes constructed in this way are called the **Griesmer codes of Belov type**.

To construct a code of length  $n = s\theta_{k-1} - \sum_{i=0}^{k-2} u_i\theta_i$ , we shall make a multiset  $s\Sigma - (\Delta_1 + \cdots + \Delta_r)$  with some  $u_j$ -flats  $\Delta_j$  ( $1 \leq j \leq r$ ) if **possible**. Then, by Lemma 1, the multiset gives a  $[g_q(k, d), k, d]_q$  code.

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**Note.** The above is **impossible** if

$r \geq s + 1$  and  $\sum_{i=1}^{s+1} u_i \geq s(k - 1)$ , see [Hill, 1992].

To construct a code of length  $n = s\theta_{k-1} - \sum_{i=0}^{k-2} u_i\theta_i$ , we shall make a multiset  $s\Sigma - (\Delta_1 + \cdots + \Delta_r)$  with some  $u_j$ -flats  $\Delta_j$  ( $1 \leq j \leq r$ ) if **possible**. Then, by Lemma 1, the multiset gives a  $[g_q(k, d), k, d]_q$  code.

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**Q 6.** What can we do when

$$r \geq s + 1 \text{ and } \sum_{i=1}^{s+1} u_i \geq s(k - 1)?$$

**Thm 4.** Let  $w = s + 1$  and assume

$$\sum_{i=1}^w u_i = s(k-1) - 1 + t \text{ with an integer } t, 1 \leq t \leq q-1.$$

Then, there exists a  $[g_q(k, d) + t, k, d]_q$  code if one of the following conditions holds:

(a)  $u_{w-t+1} = \cdots = u_w > u_{w+1}$  and

$$N_q(k-m) \geq tq + d_{m-1};$$

(b)  $u_{w-t+1} = \cdots = u_w, r = w$  and  $N_q(k-m) \geq tq;$

(c)  $u_i = u_{i+1} = \cdots = u_{i+t-1} = u_w + 1$  for some  $i$  and

$$N_q(k-m-1) \geq tq + d_m.$$

### Example 3.

It is known that  $n_3(6, 189) = g_3(6, 189) + 2$ .

For  $q = 3$ ,  $k = 6$  and  $d = 189$ , we have

$$d = 3^5 - 2 \cdot 3^3, \quad s = 1, \quad u_1 + u_2 = s(k - 1) - 1 + 2.$$

$d$  can be also expressed as

$$d = 3^5 - 6 \cdot 3^2 \quad \text{with } s = 1, \quad u'_1 + u'_2 = s(k - 1) - 1.$$

Since  $N_3(3) = 8$ , one can find planes  $\delta_1, \dots, \delta_6$  so that  $\Sigma = \text{PG}(5, 3)$  contains  $\delta_1 + \dots + \delta_6$ , where  $\delta_1, \dots, \delta_6$  are planes corresponding to six monic irreducible polynomials of degree 3 over  $\mathbb{F}_3$ . Then, the multiset  $\Sigma - (\delta_1 + \dots + \delta_6)$  gives a  $[g_3(6, 189) + 2, 6, 189]_3$  code.

**Thm 4.** Let  $w = s + 1$  and assume

$$\sum_{i=1}^w u_i = s(k-1) - 1 + t \text{ with an integer } t, 1 \leq t \leq q-1.$$

Then, there exists a  $[g_q(k, d) + t, k, d]_q$  code if one of the following conditions holds:

(a)  $u_{w-t+1} = \cdots = u_w > u_{w+1}$  and

$$N_q(k-m) \geq tq + d_{m-1};$$

(b)  $u_{w-t+1} = \cdots = u_w, r = w$  and  $N_q(k-m) \geq tq;$

(c)  $u_i = u_{i+1} = \cdots = u_{i+t-1} = u_w + 1$  for some  $i$  and

$$N_q(k-m-1) \geq tq + d_m.$$

Especially when  $t = 1$ , we get the following.



Assume  $r \geq s + 1$  and  $u = \sum_{i=1}^{s+1} u_i = s(k - 1)$ .

**Thm 5.** (Kageyama-M)

(1) For  $q = 2$ ,  $\exists [g_2(k, d) + 1, k, d]_2$  code.

(2)  $\exists [g_q(k, d) + 1, k, d]_q$  code if  $1 \leq s \leq k - 3$ ,  $q \geq 3$  and if one of the following conditions holds:

(a)  $u_{s+1} > u_{s+2}$  if  $r > s + 1$ ;

(b)  $r = s + 1$ ;

(c)  $u_\varepsilon = u_{s+1} + 1$  for some integer  $\varepsilon$ .

(3)  $\exists [g_q(k, d) + 1, k, d]_q$  code for

$(k - 2)q^{k-1} - kq^{k-2} + 1 \leq d \leq (k - 2)q^{k-1} - (k - 1)q^{k-2}$

for  $q \geq k \geq 3$ .

Thm 5 (2) yields the following.

**Corollary 6.**  $\exists [g_q(k, d) + 1, k, d]_q$  code for

$$(a) \quad sq^{k-1} - sq^{k-2} - 2q^s + 1 \leq d \leq sq^{k-1} - sq^{k-2} - q^s$$

for  $1 \leq s \leq k - 3$ ,  $q \geq s + 1$ ,  $k \geq 4$ ;

$$(b) \quad (k - 3)q^{k-1} - (k - 2)q^{k-2} + 1 \leq d \leq (k - 3)q^{k-1}$$

$-(k - 3)q^{k-2} - 2q^{k-3}$  for  $q \geq k - 2 \geq 3$ ;

$$(c) \quad q^{k-1} - 2q^{k/2} + 1 \leq d \leq q^{k-1} - q^{k/2} - q^{k/2-1}$$

for all  $q$  if  $k$  is even;

$$(d) \quad q^{k-1} - 3q^{(k-1)/2} + 1 \leq d \leq q^{k-1} - 2q^{(k-1)/2}$$

for  $q \geq 3$  if  $k$  is odd.

**Thm 7.** (Klein-Metsch, 2007)

Let  $d = sq^{k-1} - \sum_{i=1}^{k-1} t_i q^{k-1-i}$  with  $0 \leq t_i < q$ .

Assume  $t_1 > 0$ ,  $t_2 = 0$  and  $\sum_{i=3}^{k-1} t_i q^{k-1-i} \leq r q^{k-4}$ . Then

$n_q(k, d) \geq g_q(k, d) + 1$  if the following conditions hold:

(a)  $s < \min\{t_1, k - 1\}$ .

(b)  $t_1 \leq (q + 1)/2$ .

(c)  $t_1 + r \leq q$  and  $r$  is a non-negative integer.

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(b)  $t_1 \leq (q + 1)/2$ .

(c)  $t_1 + r \leq q$  and  $r$  is a non-negative integer.

**Ex.**  $d = (k - 2)q^{k-1} - (k - 1)q^{k-2} - \sum_{j=0}^{k-4} d_j q^j$ ,  $q \geq 2k - 3$ ,

$k \geq 4$ ,  $0 \leq d_{k-4} \leq k - 3$ ,  $0 \leq d_j \leq q - 1$  for  $j \leq k - 5$ .

**Thm 7.** (Klein-Metsch, 2007)

Let  $d = sq^{k-1} - \sum_{i=1}^{k-1} t_i q^{k-1-i}$  with  $0 \leq t_i < q$ .

Assume  $t_1 > 0$ ,  $t_2 = 0$  and  $\sum_{i=3}^{k-1} t_i q^{k-1-i} \leq r q^{k-4}$ . Then

$n_q(k, d) \geq g_q(k, d) + 1$  if the following conditions hold:

(a)  $s < \min\{t_1, k-1\}$ .  $s = k-2$ ,  $t_1 = k-1$

(b)  $t_1 \leq (q+1)/2$ .  $\Leftrightarrow q \geq 2k-3$

(c)  $t_1 + r \leq q$  and  $r$  is a non-negative integer.  $r = k-2$

**Ex.**  $d = (k-2)q^{k-1} - (k-1)q^{k-2} - \sum_{j=0}^{k-4} d_j q^j$ ,  $q \geq 2k-3$ ,

$k \geq 4$ ,  $0 \leq d_{k-4} \leq k-3$ ,  $0 \leq d_j \leq q-1$  for  $j \leq k-5$ .

♣  $n_q(k, d) = g_q(k, d)$  for  $d > (k - 2)q^{k-1} - (k - 1)q^{k-2}$ .

$n_q(k, d) > g_q(k, d)$  for

- $d = (k - 2)q^{k-1} - (k - 1)q^{k-2} (:= d_1)$  for  $q \geq k, k = 3, 4, 5$ ; for  $q \geq 2k - 3, k \geq 6$  (M, 1997).
- $d_1 - (k - 2)q^{k-4} + 1 \leq d \leq d_1$  for  $q \geq 2k - 3, k \geq 4$  (Klein-Metsch, 2007).

Thms 5 and 7 determine  $n_q(k, d)$ :

**Corollary 8.**  $n_q(k, d) = g_q(k, d) + 1$  for  $d_1 - (k - 2)q^{k-4} + 1 \leq d \leq d_1$  if  $q \geq 2k - 3$  and  $k \geq 5$ .

**Example 4.** For the case when  $q = 5$  and  $k = 5$ ,  $[g_5(5, d) + 1, 5, d]_5$  codes exist for  $d = 491-495, 551-575, 876-975, 1251-1375$  by Thm 5 and Cor 6, at least 57 of which are optimal.

**Example 4.** For the case when  $q = 5$  and  $k = 5$ ,  $[g_5(5, d) + 1, 5, d]_5$  codes exist for  $d = 491-495, 551-575, 876-975, 1251-1375$  by Thm 5 and Cor 6, at least 57 of which are optimal.

**Q 7.** Find  $n_q(5, d)$  for  $q \geq 5$  for

(1)  $q^4 - q^3 - q^2 + 1 \leq d \leq q^4 - q^3 - q,$

(2)  $2q^4 + 1 \leq d \leq 2q^4 + q^2 - q,$

(3)  $q^4 - q^3 - 2q^2 + 1 \leq d \leq q^4 - q^3 - q^2.$

Note that  $\sum_{i=1}^{s+1} u_i \geq s(k-1)$  for the above  $d$ .



## 4. Construction of $q$ -divisible codes

An  $[n, k, d]_q$  code is called  $m$ -divisible if all codewords have weights divisible by an integer  $m > 1$ .

**Thm 9.** (Ward, 1998)

Let  $\mathcal{C}$  be a Griesmer  $[n, k, d]_p$  code with  $p$  prime. If  $p^e$  divides  $d$ , then  $\mathcal{C}$  is  $p^e$ -divisible.

**Lemma 10.**  $\mathcal{C}$ :  **$m$ -divisible**  $[n, k, d]_q$  code,  $q = p^h$ ,  
 $p$  prime,  $m = p^r$ ,  $1 \leq r < h(k - 2)$ ,  $\lambda_0 > 0$ , with spec.

$$a_{n-d-im} = \alpha_i \text{ for } 0 \leq i \leq w - 1.$$

$\Rightarrow \exists \mathcal{C}^*$ :  **$t$ -divisible**  $[n^*, k, d^*]_q$  code with  
 $t = q^{k-2}/m$ ,  $n^* = ntq - \frac{d}{m}\theta_{k-1}$ ,  $d^* = ((n - d)q - n)t$ ,  
whose spectrum is

$$a_{n^*-d^*-it} = \lambda_i \text{ for } 0 \leq i \leq \gamma_0$$

where  $\lambda_i = |C_i|$  ( $\#$  of  $i$ -points for  $\mathcal{C}$ ).

$\mathcal{C}^*$  is called a **projective dual (p.d.)** of  $\mathcal{C}$ , see

A.E. Brouwer, M. van Eupen, The correspondence between projective codes  
and 2-weight codes, *Des. Codes Cryptogr.* **11** (1997) 261–266.

The multiset  $\mathcal{M}_{\mathcal{C}^*}$  is given by considering the hyperplanes  $H$  with  $m_{\mathcal{C}}(H) = n - d - jm$  as  $j$ -points in the dual space  $\Sigma^*$  of  $\Sigma$  for  $0 \leq j \leq w - 1$ .

### Example 5.

$\mathcal{C}_1$ : 3-div  $[19, 6, 9]_3$

with spec.  $(a_1, a_4, a_7, a_{10}) = (6, 114, 201, 43)$

↓ projective dual

$\mathcal{C}_1^*$ : 27-div  $[447, 6, 297]_3$  ( $n^* = 3a_1 + 2a_4 + a_7$ )

with spec.  $(a_{123}^*, a_{150}^*) = (19, 345)$

$\mathbf{P}(a_0, a_1, \dots, a_5) \in \text{PG}(5, 3)$  is a  $j$ -point for  $\mathcal{C}_1^*$  if

$$wt((a_0, \dots, a_5)G_0) = 3j + 9.$$

**Q 7.** Find  $n_q(5, d)$  for  $q \geq 5$  for

(1)  $q^4 - q^3 - q^2 + 1 \leq d \leq q^4 - q^3 - q,$

(2)  $2q^4 + 1 \leq d \leq 2q^4 + q^2 - q,$

(3)  $q^4 - q^3 - 2q^2 + 1 \leq d \leq q^4 - q^3 - q^2.$

**Lemma 11.**  $\exists$   $q$ -div.  $[q^2 + q, 5, q^2 - q]_q$  code with spec.  
 $(a_0, a_q, a_{2q}) = \left(\frac{q^2 - q}{2}, q^4 - q^2 + q + 1, \frac{2q^3 + 3q^2 + q}{2}\right)$ .

**Lemma 12.**  $\exists$   $q$ -div.  $[q^2, 5, q^2 - 3q]_q$  code with spec.  
 $(a_0, a_q, a_{2q}, a_{3q}) = \left(\frac{q}{6}(q - 1)(2q + 5) + 1, \right.$   
 $\left. q^4 + \frac{q^3 - q^2}{2} + 3q, 3\binom{q}{2}, \binom{q}{3}\right)$ .

$K$ : an  $s$ -arc in  $\text{PG}(r, q)$  if

- $K$  is a set of  $s$  points in  $\text{PG}(r, q)$ .
- no  $r + 1$  points of  $K$  are on a hyperplane.

When  $q \geq r$ , there exists a  $(q + 1)$ -arc.

**Lemma 11.** There exists a  $q$ -divisible  $[q^2 + q, 5, q^2 - q]_q$  code  $\mathcal{C}_2$  with spectrum  
 $(a_0, a_q, a_{2q}) = \left(\frac{q^2 - q}{2}, q^4 - q^2 + q + 1, \frac{2q^3 + 3q^2 + q}{2}\right)$ .

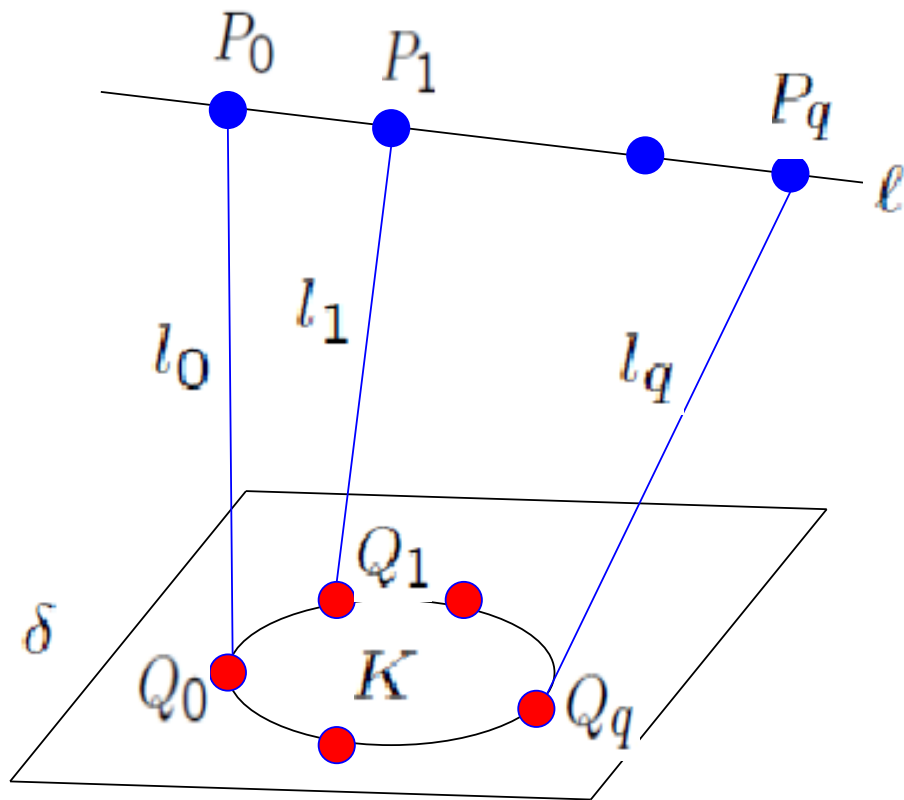
## Construction

$\ell$ : line,  $\delta$ : plane with  $\ell \cap \delta = \emptyset$  in  $\Sigma = \text{PG}(4, q)$

$K = \{Q_0, Q_1, \dots, Q_q\}$ : a  $(q + 1)$ -arc in  $\delta$

$\ell = \{P_0, P_1, \dots, P_q\}$ ,  $l_i = \langle P_i, Q_i \rangle$ .

Setting  $C_1 = (\cup_{i=0}^q l_i) \setminus \ell$  and  $C_0 = \Sigma \setminus C_1$ , we get a  $q$ -divisible  $[q^2 + q, 5, q^2 - q]_q$  code  $\mathcal{C}_2$ .



$$\Sigma = \text{PG}(4, q)$$

$$\ell \cap \delta = \emptyset$$

$K$ : a  $(q+1)$ -arc in  $\delta$

$$l_i = \langle P_i, Q_i \rangle$$

$$C_1 = \left( \bigcup_{i=0}^q l_i \right) \setminus \ell$$

$$C_0 = \Sigma \setminus C_1$$

$\Rightarrow C_2$  is a  $q$ -divisible  $[q^2 + q, 5, q^2 - q]_q$  code.

**Lemma 12.** There exists a  $q$ -divisible  $[q^2, 5, q^2 - 3q]_q$  code  $\mathcal{C}_3$  with spectrum

$$(a_0, a_q, a_{2q}, a_{3q}) = \left( -\frac{q}{6}(q-1)(2q+5) + 1, \right. \\ \left. q^4 + \frac{q^3 - q^2}{2} + 3q, 3\binom{q}{2}, \binom{q}{3} \right).$$

## Construction

$H$ : hyperplane of  $\Sigma = \text{PG}(4, q)$

$P$ : point  $\notin H$

$K = \{Q_1, \dots, Q_q\}$ : a  $q$ -arc in  $H$

$l_i = \langle P, Q_i \rangle$ .

Setting  $C_1 = (\cup_{i=1}^q l_i) \setminus P$  and  $C_0 = \Sigma \setminus C_1$ , we get a  $q$ -divisible  $[q^2, 5, q^2 - 3q]_q$  code  $\mathcal{C}_3$ .



$H$ : a hyperplane of  $\Sigma = \text{PG}(4, q)$

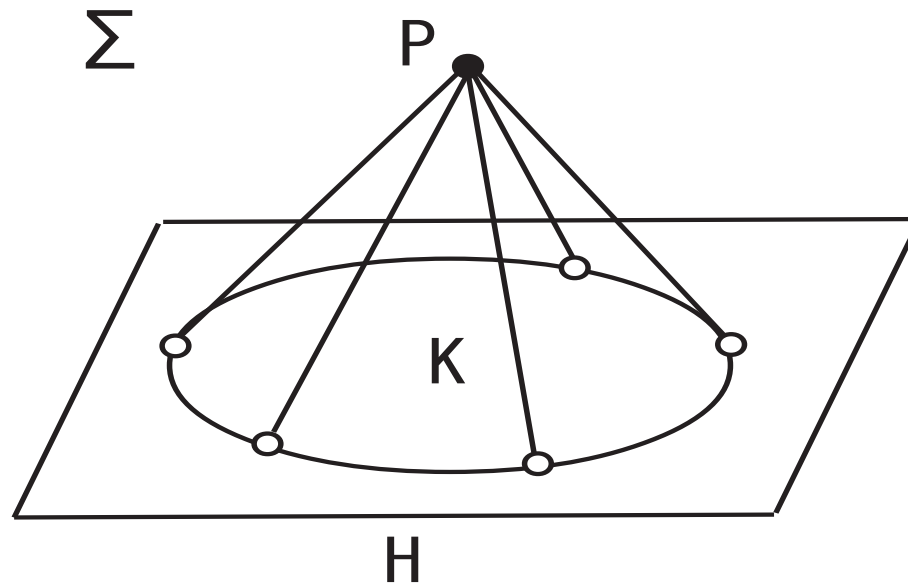
$K$ :  $q$ -arc in  $H$

$P$ : a point of  $\Sigma$  out of  $H$

$l_1, \dots, l_q$ : lines through  $P$  s.t.  $\cup_{i=1}^q (l_i \cap H) = K$

$C_1 = (\cup_{i=1}^q l_i) \setminus P$ ,  $C_0 = \Sigma \setminus C_1$

$\Rightarrow C_3$  is a  $q$ -divisible  $[q^2, 5, q^2 - 3q]_q$  code.



**Lemma 11.**  $\exists \mathcal{C}_2$ :  $q$ -div.  $[q^2 + q, 5, q^2 - q]_q$  code with  $(a_0, a_q, a_{2q}) = \left(\frac{q^2 - q}{2}, q^4 - q^2 + q + 1, \frac{2q^3 + 3q^2 + q}{2}\right)$ .

**Lemma 12.**  $\exists \mathcal{C}_3$ :  $q$ -div.  $[q^2, 5, q^2 - 3q]_q$  code with  $(a_0, a_q, a_{2q}, a_{3q}) = \left(\frac{q}{6}(q - 1)(2q + 5) + 1, q^4 + \frac{q^3 - q^2}{2} + 3q, 3\binom{q}{2}, \binom{q}{3}\right)$ .

$K$ : an  $s$ -arc in  $\text{PG}(r, q)$  if

- $K$  is a set of  $s$  points in  $\text{PG}(r, q)$ .
- no  $r + 1$  points of  $K$  are on a hyperplane.

When  $q \geq r$ , there exists a  $(q + 1)$ -arc.

## Thm 13.

$\mathcal{C}_2$ :  $q$ -divisible  $[q^2 + q, 5, q^2 - q]_q$  code

↓ projective dual

$\mathcal{C}_2^*$ :  $q^2$ -divisible  $[q^4 + 1, 5, q^4 - q^3]_q$  code

↓ geometric puncturing

$[q^4 + 1 - t(q + 1), 5, q^4 - q^3 - tq]_q$  code for  $1 \leq t \leq q - 1$

- $n^* = q^4 + 1 = g_q(5, q^4 - q^3) + 1$ .
- $\mathcal{C}_2^*$  is not optimal, for  $\exists [g_q(5, d), 5, d]_q$  if  $d = q^4 - q^3$ .
- The resulting codes are optimal, giving

$n_q(5, d) = g_q(5, d) + 1$  for  $q^4 - q^3 - q^2 + 1 \leq d \leq q^4 - q^3 - q$ .

**Lemma 11.**  $\exists \mathcal{C}_2$ :  $q$ -div.  $[q^2 + q, 5, q^2 - q]_q$  code with  $(a_0, a_q, a_{2q}) = \left(\frac{q^2 - q}{2}, q^4 - q^2 + q + 1, \frac{2q^3 + 3q^2 + q}{2}\right)$ .

**Lemma 12.**  $\exists \mathcal{C}_3$ :  $q$ -div.  $[q^2, 5, q^2 - 3q]_q$  code with  $(a_0, a_q, a_{2q}, a_{3q}) = \left(\frac{q}{6}(q - 1)(2q + 5) + 1, q^4 + \frac{q^3 - q^2}{2} + 3q, 3\binom{q}{2}, \binom{q}{3}\right)$ .

$K$ : an  $s$ -arc in  $\text{PG}(r, q)$  if

- $K$  is a set of  $s$  points in  $\text{PG}(r, q)$ .
- no  $r + 1$  points of  $K$  are on a hyperplane.

When  $q \geq r$ , there exists a  $(q + 1)$ -arc.

$\mathcal{C}_3$ :  $q$ -divisible  $[q^2, 5, q^2 - 3q]_q$  code

↓ projective dual

$\mathcal{C}_3^*$ :  $q^2$ -divisible  $[2\theta_4 + 1, 5, 2q^4]_q$  code  
with weights  $2q^4$  and  $2q^4 + q^2$ .

**Lemma 14.** (Hill-Newton, 1992)

$\mathcal{C}$ :  $[n, k, d]_q$  code

$\mathcal{C}_0$ :  $[n_0, k - 1, d_0]_q$  code

If  $\exists c \in \mathcal{C}$  with  $wt(c) \geq d + d_0$

$\Rightarrow \exists \mathcal{C}'$ :  $[n + n_0, k, d + d_0]_q$  code

• We apply Lemma 14 to

$\mathcal{C}$ :  $[2\theta_4 + 1, 5, 2q^4]_q$  code,  $wt(c) = 2q^4 + q^2$

$\mathcal{C}_0$ :  $[q^2 + 1, 4, q^2 - q]_q$  code.

$\Rightarrow \exists \mathcal{C}'$ :  $[2\theta_4^4 + q^2 + 2, 5, 2q^4 + q^2 - q]_q$  code

## Thm 15.

$\mathcal{C}_3$ :  $q$ -divisible  $[q^2, 5, q^2 - 3q]_q$  code

↓ projective dual

$\mathcal{C}_3^*$ :  $q^2$ -divisible  $[2\theta_4 + 1, 5, 2q^4]_q$  code

↓ Lemma 14 with  $[q^2 + 1, 4, q^2 - q]_q$

$[2\theta_4^4 + q^2 + 2, 5, 2q^4 + q^2 - q]_q$  code

↓ geometric puncturing

$[2\theta_4^4 + q^2 + 2 - u\theta_1, 5, 2q^4 + q^2 - (u + 1)q]_q$  code  
for  $0 \leq u \leq q - 2$

- $n^* = 2\theta^4 = g_q(5, 2q^4) + 1$ .

- $\mathcal{C}_3^*$  is not optimal, for  $\exists [g_q(5, d), 5, d]_q$  if  $d = 2q^4$ .

## Thm 15.

$\mathcal{C}_3$ :  $q$ -divisible  $[q^2, 5, q^2 - 3q]_q$  code

↓ projective dual

$\mathcal{C}_3^*$ :  $q^2$ -divisible  $[2\theta_4 + 1, 5, 2q^4]_q$  code

↓ Lemma 14 with  $[q^2 + 1, 4, q^2 - q]_q$

$[2\theta_4^4 + q^2 + 2, 5, 2q^4 + q^2 - q]_q$  code

↓ geometric puncturing

$[2\theta_4^4 + q^2 + 2 - u\theta_1, 5, 2q^4 + q^2 - (u + 1)q]_q$  code  
for  $0 \leq u \leq q - 2$

• The resulting codes are Griesmer, giving

$$n_q(5, d) = g_q(5, d) \text{ for } 2q^4 + 1 \leq d \leq 2q^4 + q^2 - q.$$



## 5. Open problems

**Q 7.** Find  $n_q(5, d)$  for  $q \geq 5$  for

(1)  $q^4 - q^3 - q^2 + 1 \leq d \leq q^4 - q^3 - q,$

(2)  $2q^4 + 1 \leq d \leq 2q^4 + q^2 - q,$

(3)  $q^4 - q^3 - 2q^2 + 1 \leq d \leq q^4 - q^3 - q^2.$

Note that  $\sum_{i=1}^{s+1} u_i \geq s(k-1)$  for the above  $d$ .

We have solved the above question for (1) and (2).

But it is still open for (3)!

**Problem 2.**  $\exists$   $q$ -div.  $[(q+1)^2, 5, q^2]_q$  code?

$\mathcal{C}$ :  $q$ -divisible  $[(q+1)^2, 5, q^2]_q$  code

$\downarrow$  projective dual

$\mathcal{C}^*$ :  $q^2$ -divisible  $[q^4 - q^2 - q, 5, q^4 - q^3 - q^2]_q$  code.

$$n^* = q^4 - q^2 - q = g_q(5, q^4 - q^3 - q^2) + 1.$$

$\mathcal{C}^*$  is optimal, for  $\nexists [g_q(5, d), 5, d]_q$  if  $d = q^4 - q^3 - q^2$ .

If  $\mathcal{C}$  is projective, then the spectrum is

$$(a_1, a_{q+1}, a_{2q+1}) = \left( \binom{q+1}{2}, q^4 - 2q^2 - 2q, q^3 + 5 \binom{q+1}{2} + 1 \right).$$

- A  $[9, 5, 4]_2$  code does not exist.
- A  $q$ -div.  $[(q+1)^2, 5, q^2]_q$  code exists for  $q = 3, 4, 5$ .
- For  $q = 4$ , there are 31 such codes, two of which are non-projective:  $(a_1, a_5, a_9; \lambda_2) = (14, 208, 119; 1)$

**Conjecture.**  $n_q(k, d) \leq g_q(k, d) + k - 2$  for  $k \geq 3$ .

**Problem 3.** Construct  $[g_q(k, d) + k - 2, k, d]_q$  codes for all  $q, d$  and  $k \geq 3$ .

For  $k = 3$ , the conjecture is valid for all  $q \leq 19$ , see Simeon Ball's website:

S. Ball, Table of bounds on three dimensional linear codes or  $(n, r)$ -arcs in  $PG(2, q)$ ,

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Thank you for your attention!

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