Applying block intersection polynomials to study graphs and designs

Leonard Soicher

Queen Mary University of London

CoCoA15, Colorado State University, Fort Collins, July 2015

4 1 1 1 4 1

The main equations

• Consider the system of equations:

$$\sum_{i=0}^{s} {i \choose j} n_i = {s \choose j} \lambda_j \quad (j = 0, \dots, t)$$
(1)

where s, t are given non-negative integers, with $s \ge t$, the λ_j are given rational numbers (or symbolic expressions), and we are interested in solution vectors $[n_0, \ldots, n_s]$ of non-negative integers (or symbolic expressions for these solutions), or want to show that no such solutions exist.

The main equations

• Consider the system of equations:

$$\sum_{i=0}^{s} {i \choose j} n_i = {s \choose j} \lambda_j \quad (j = 0, \dots, t)$$
(1)

where s, t are given non-negative integers, with $s \ge t$, the λ_j are given rational numbers (or symbolic expressions), and we are interested in solution vectors $[n_0, \ldots, n_s]$ of non-negative integers (or symbolic expressions for these solutions), or want to show that no such solutions exist.

• Systems of equations of this form arise in the study of block designs, especially the study of *t*-designs, and in the study of graphs with certain regularity properties.

• The block intersection polynomial is a tool to give useful theoretical, symbolic, or exact numerical information about the solutions to the system (1) when t is even and non-negative integers m_0, \ldots, m_s are specified for which $m_i \leq n_i$ must hold.

- The block intersection polynomial is a tool to give useful theoretical, symbolic, or exact numerical information about the solutions to the system (1) when t is even and non-negative integers m_0, \ldots, m_s are specified for which $m_i \leq n_i$ must hold.
- Exact linear or integer programming methods may also be used to study specific instances of the system (1), subject to $m_i \leq n_i$ or other linear inequalities.

The main definition

Definition

The block intersection polynomial

$$B(x, [m_0, \ldots, m_s], [\lambda_0, \ldots, \lambda_t])$$

is defined to be

$$\sum_{j=0}^{t} {t \choose j} P(-x,t-j) [P(s,j)\lambda_j - \sum_{i=j}^{s} P(i,j)m_i],$$

where for k a non-negative integer,

$$P(x,k) := x(x-1)\cdots(x-k+1).$$

(日) (同) (三) (三)

The main theorem

Theorem (P.J. Cameron and S.)

Suppose $[n_0, \ldots, n_s]$ is an real-vector solution to the system of equations (1), where s, t are non-negative integers, with $s \ge t$, $\lambda_0, \ldots, \lambda_t$ and m_0, \ldots, m_s are real numbers, with $m_i \le n_i$ for all i, and let

$$B(x) := B(x, [m_0, \ldots, m_s], [\lambda_0, \ldots, \lambda_t]).$$

Then:

1
$$B(x) = \sum_{i=0}^{s} P(i-x,t)(n_i-m_i);$$

- **(())) (())) ())**

The main theorem

Theorem (P.J. Cameron and S.)

Suppose $[n_0, \ldots, n_s]$ is an real-vector solution to the system of equations (1), where s, t are non-negative integers, with $s \ge t$, $\lambda_0, \ldots, \lambda_t$ and m_0, \ldots, m_s are real numbers, with $m_i \le n_i$ for all i, and let

$$B(x) := B(x, [m_0, \ldots, m_s], [\lambda_0, \ldots, \lambda_t]).$$

Then:

1
$$B(x) = \sum_{i=0}^{s} P(i-x,t)(n_i-m_i);$$

2) if t is even then $B(m) \ge 0$ for every integer m;

(日本) (日本) (日本)

The main theorem

Theorem (P.J. Cameron and S.)

Suppose $[n_0, \ldots, n_s]$ is an real-vector solution to the system of equations (1), where s, t are non-negative integers, with $s \ge t$, $\lambda_0, \ldots, \lambda_t$ and m_0, \ldots, m_s are real numbers, with $m_i \le n_i$ for all i, and let

$$B(x) := B(x, [m_0, \ldots, m_s], [\lambda_0, \ldots, \lambda_t]).$$

Then:

1
$$B(x) = \sum_{i=0}^{s} P(i-x,t)(n_i-m_i);$$

2) if t is even then $B(m) \ge 0$ for every integer m;

if t is even and m is an integer then B(m) = 0 if and only if m_i = n_i for all i ∉ {m, m + 1,..., m + t − 1}, in which case [n₀,..., n_s] is uniquely determined by [m₀,..., m_s] and [λ₀,..., λ_t].

イロト 不得下 イヨト イヨト 二日

• Block intersection polynomials have found both theoretical and computational applications in the study of intersections of blocks in *t*-designs, and in the study of "high order intersection numbers".

4 3 5 4 3

- Block intersection polynomials have found both theoretical and computational applications in the study of intersections of blocks in *t*-designs, and in the study of "high order intersection numbers".
- These polynomials are implemented in my DESIGN package for GAP. They are used to provide an upper bound on the number of times a block can be repeated in a t-(v, k, λ) design (given only t, v, k, λ), and to provide a sometimes better bound for this for a resolvable t-(v, k, λ) design with t even.

- Block intersection polynomials have found both theoretical and computational applications in the study of intersections of blocks in *t*-designs, and in the study of "high order intersection numbers".
- These polynomials are implemented in my DESIGN package for GAP. They are used to provide an upper bound on the number of times a block can be repeated in a t-(v, k, λ) design (given only t, v, k, λ), and to provide a sometimes better bound for this for a resolvable t-(v, k, λ) design with t even.
- Block intersection polynomials are also used to provide constraints in the DESIGN package function for finding and classifying block designs with user-specified properties.

< 回 > < 三 > < 三 >

• Block intersection polynomials are also used in the study of cliques in edge-regular graphs.

- Block intersection polynomials are also used in the study of cliques in edge-regular graphs.
- They can also be applied to study induced subgraphs in a relation graph of a symmetric association scheme (but I have only done this so far with strongly regular graphs).

- Block intersection polynomials are also used in the study of cliques in edge-regular graphs.
- They can also be applied to study induced subgraphs in a relation graph of a symmetric association scheme (but I have only done this so far with strongly regular graphs).
- My aim in this talk is to give a simplified introduction to block intersection polynomials, focussing on applications to cliques in edge-regular graphs, in the hope that you will become interested to apply these polynomials in your research.

- Block intersection polynomials are also used in the study of cliques in edge-regular graphs.
- They can also be applied to study induced subgraphs in a relation graph of a symmetric association scheme (but I have only done this so far with strongly regular graphs).
- My aim in this talk is to give a simplified introduction to block intersection polynomials, focussing on applications to cliques in edge-regular graphs, in the hope that you will become interested to apply these polynomials in your research.
- All graphs in this talk are finite, undirected, and have no loops or multiple edges.

< 回 ト < 三 ト < 三 ト

Let Γ be a graph, and let S and Q be given vertex-subsets of Γ, with s := |S|. We shall be interested in the number n_i of vertices in Q adjacent to exactly i vertices in S (i = 0,..., s).

- Let Γ be a graph, and let S and Q be given vertex-subsets of Γ, with s := |S|. We shall be interested in the number n_i of vertices in Q adjacent to exactly i vertices in S (i = 0,..., s).
- For T ⊆ S, define λ_T to be the number of vertices in Q adjacent to every vertex in T, and for 0 ≤ j ≤ s, define

$$\lambda_j := {\binom{s}{j}}^{-1} \sum_{T \subseteq S, |T|=j} \lambda_T.$$

- Let Γ be a graph, and let S and Q be given vertex-subsets of Γ, with s := |S|. We shall be interested in the number n_i of vertices in Q adjacent to exactly i vertices in S (i = 0,..., s).
- For T ⊆ S, define λ_T to be the number of vertices in Q adjacent to every vertex in T, and for 0 ≤ j ≤ s, define

$$\lambda_j := {\binom{s}{j}}^{-1} \sum_{T \subseteq S, |T|=j} \lambda_T.$$

 In other words, λ_j is the average, over the j-subsets T of S, of the number of vertices in Q adjacent to all the vertices in T.

< 回 ト < 三 ト < 三 ト

- Let Γ be a graph, and let S and Q be given vertex-subsets of Γ, with s := |S|. We shall be interested in the number n_i of vertices in Q adjacent to exactly i vertices in S (i = 0,..., s).
- For T ⊆ S, define λ_T to be the number of vertices in Q adjacent to every vertex in T, and for 0 ≤ j ≤ s, define

$$\lambda_j := {\binom{s}{j}}^{-1} \sum_{T \subseteq S, |T|=j} \lambda_T.$$

- In other words, λ_j is the average, over the j-subsets T of S, of the number of vertices in Q adjacent to all the vertices in T.
- In many, but not all, applications, λ_T is constant over the *j*-subsets T of S, in which case, λ_j is simply this constant.

イロト 不得下 イヨト イヨト 二日

By counting in two ways the number of ordered pairs (T, q) where T is a *j*-subset of S and q is a vertex in Q adjacent to every vertex in T, we obtain:

$$\sum_{i=0}^{s} \binom{i}{j} n_i = \binom{s}{j} \lambda_j,$$

where n_i is the number of vertices in Q adjacent to exactly *i* vertices in S.

Let Γ be an edge-regular graph with parameters (v, k, λ) ; that is to say that Γ has exactly v vertices, is regular of valency k, and every edge lies in exactly λ triangles.

→ 3 → 4 3

Let Γ be an edge-regular graph with parameters (v, k, λ) ; that is to say that Γ has exactly v vertices, is regular of valency k, and every edge lies in exactly λ triangles.

Now suppose that S an s-clique of Γ (i.e. an s-set of pairwise adjacent vertices), with $s \ge 2$, and let $Q := V(\Gamma) \setminus S$. Then

$$\lambda_0 = |Q| = v - s, \quad \lambda_1 = k - s + 1, \quad \lambda_2 = \lambda - s + 2,$$

and for j = 0, 1, 2 we have:

$$\sum_{i=0}^{s} \binom{i}{j} n_i = \binom{s}{j} \lambda_j,$$

where n_i is the number of vertices in Q adjacent to exactly *i* vertices in S.

(日) (同) (三) (三)

Let Γ be the incidence graph of a t- (v, k, λ) design, let S be a subset of the set of point-vertices of Γ , with $s := |S| \ge t$, and let Q be the set of all block-vertices of Γ .

Let Γ be the incidence graph of a t- (v, k, λ) design, let S be a subset of the set of point-vertices of Γ , with $s := |S| \ge t$, and let Q be the set of all block-vertices of Γ .

Then for $0 \le j \le t$,

$$\lambda_j = \lambda {\binom{\mathbf{v}-j}{t-j}} / {\binom{k-j}{t-j}},$$

and

$$\sum_{i=0}^{s} \binom{i}{j} n_i = \binom{s}{j} \lambda_j,$$

where n_i is is the number of blocks of the design incident to (or intersecting in) exactly *i* of the points of *S*.

Let Γ be the incidence graph of a t- (v, k, λ) design, let S be a subset of the set of point-vertices of Γ , with $s := |S| \ge t$, and let Q be the set of all block-vertices of Γ .

Then for $0 \le j \le t$,

$$\lambda_j = \lambda {\binom{\mathbf{v}-j}{t-j}} / {\binom{\mathbf{k}-j}{t-j}},$$

and

$$\sum_{i=0}^{s} \binom{i}{j} n_i = \binom{s}{j} \lambda_j,$$

where n_i is is the number of blocks of the design incident to (or intersecting in) exactly *i* of the points of *S*.

Note that if S is the point-set of a block of multiplicity at least m, then $n_s \ge m$.

Cliques in edge-regular graphs

I will now focus on studying cliques in edge-regular graphs via block intersection polynomials.

Cliques in edge-regular graphs

I will now focus on studying cliques in edge-regular graphs via block intersection polynomials.

Definition

A regular clique, or more specifically, an *m*-regular clique in a graph Γ is a non-empty clique S such that every vertex of Γ not in S is adjacent to exactly *m* vertices of S, for some constant m > 0.

Cliques in edge-regular graphs

I will now focus on studying cliques in edge-regular graphs via block intersection polynomials.

Definition

A regular clique, or more specifically, an *m*-regular clique in a graph Γ is a non-empty clique S such that every vertex of Γ not in S is adjacent to exactly *m* vertices of S, for some constant m > 0.

Definition

A quasiregular clique, or more specifically, an *m*-quasiregular clique in a graph Γ is a clique *S* of size at least 2, such that every vertex of Γ not in *S* is adjacent to exactly *m* or *m* + 1 vertices of *S*, for some constant $m \ge 0$.

- 4 週 ト - 4 三 ト - 4 三 ト

Theorem

Let Γ be an edge-regular graph with parameters (v, k, λ) , let S be an s-clique of Γ , with $s \ge 2$, and let

$$B(x) := B(x, [0^{s+1}], [v-s, k-s+1, \lambda - s + 2])$$
$$= x(x+1)(v-s) - 2xs(k-s+1) + s(s-1)(\lambda - s + 2).$$

Then:

3

Theorem

Let Γ be an edge-regular graph with parameters (v, k, λ) , let S be an s-clique of Γ , with $s \ge 2$, and let

$$B(x) := B(x, [0^{s+1}], [v-s, k-s+1, \lambda - s + 2])$$
$$= x(x+1)(v-s) - 2xs(k-s+1) + s(s-1)(\lambda - s + 2).$$

Then:

• $B(m) \ge 0$ for every integer m;

E ▶.

Theorem

Let Γ be an edge-regular graph with parameters (v, k, λ) , let S be an s-clique of Γ , with $s \ge 2$, and let

$$B(x) := B(x, [0^{s+1}], [v-s, k-s+1, \lambda - s + 2])$$
$$= x(x+1)(v-s) - 2xs(k-s+1) + s(s-1)(\lambda - s + 2).$$

Then:

- $B(m) \ge 0$ for every integer m;
- if m is a non-negative integer then B(m) = 0 if and only if S is m-quasiregular (in which case the number of vertices outside S adjacent to exactly m vertices in S is B(m + 1)/2);

.

Theorem

Let Γ be an edge-regular graph with parameters (v, k, λ) , let S be an s-clique of Γ , with $s \ge 2$, and let

$$B(x) := B(x, [0^{s+1}], [v-s, k-s+1, \lambda - s + 2])$$
$$= x(x+1)(v-s) - 2xs(k-s+1) + s(s-1)(\lambda - s + 2).$$

Then:

- $B(m) \ge 0$ for every integer m;
- if m is a non-negative integer then B(m) = 0 if and only if S is m-quasiregular (in which case the number of vertices outside S adjacent to exactly m vertices in S is B(m+1)/2);
- 3 if m is a positive integer then B(m-1) = B(m) = 0 if and only if S is m-regular.

(日) (同) (三) (三)

A.A. Makhnev (2011) used block intersection polynomials to study cliques in certain highly regular graphs. In this work, he observed that when

$$\mathbf{v} = \mathbf{K}((\mathbf{K}-1)(\mathbf{R}-1)+\alpha)/\alpha, \ \mathbf{k} = (\mathbf{K}-1)\mathbf{R}, \ \lambda = \mathbf{K}-2+(\mathbf{R}-1)(\alpha-1),$$

for some integers R, K > 1 and $\alpha > 0$, we have

$$B(x, [0^{K+1}], [v - K, k - K + 1, \lambda - K + 2])$$

$$= [\alpha^{-1} K(K-1)(R-1)](x-(\alpha-1))(x-\alpha),$$

to show that in any edge-regular graph having the same (v, k, λ) as a pseudo-geometric strongly regular graph, each K-clique is α -regular.

→ 3 → 4 3

Generalisation of a result of Neumaier

In S. (2015), I applied block intersection polynomials to prove the following theorem, which generalises a result of Neumaier (1981) on regular cliques in edge-regular graphs.

Generalisation of a result of Neumaier

In S. (2015), I applied block intersection polynomials to prove the following theorem, which generalises a result of Neumaier (1981) on regular cliques in edge-regular graphs.

Definition

The size of a largest clique in a graph Γ is called the *clique number* of Γ , and is denoted by $\omega(\Gamma)$.

In S. (2015), I applied block intersection polynomials to prove the following theorem, which generalises a result of Neumaier (1981) on regular cliques in edge-regular graphs.

Definition

The size of a largest clique in a graph Γ is called the *clique number* of Γ , and is denoted by $\omega(\Gamma)$.

Theorem

Suppose Γ is an edge-regular graph, not complete multipartite, which has an m-quasiregular s-clique. Then for all edge-regular graphs Δ with the same parameters (v, k, λ) as Γ :

In S. (2015), I applied block intersection polynomials to prove the following theorem, which generalises a result of Neumaier (1981) on regular cliques in edge-regular graphs.

Definition

The size of a largest clique in a graph Γ is called the *clique number* of Γ , and is denoted by $\omega(\Gamma)$.

Theorem

Suppose Γ is an edge-regular graph, not complete multipartite, which has an m-quasiregular s-clique. Then for all edge-regular graphs Δ with the same parameters (v, k, λ) as Γ :

•
$$\omega(\Delta) \leq s$$
, so in particular, $\omega(\Gamma) = s$;

In S. (2015), I applied block intersection polynomials to prove the following theorem, which generalises a result of Neumaier (1981) on regular cliques in edge-regular graphs.

Definition

The size of a largest clique in a graph Γ is called the *clique number* of Γ , and is denoted by $\omega(\Gamma)$.

Theorem

Suppose Γ is an edge-regular graph, not complete multipartite, which has an m-quasiregular s-clique. Then for all edge-regular graphs Δ with the same parameters (v, k, λ) as Γ :

• $\omega(\Delta) \leq s$, so in particular, $\omega(\Gamma) = s$;

2) all quasiregular cliques in Δ are m-quasiregular cliques;

In S. (2015), I applied block intersection polynomials to prove the following theorem, which generalises a result of Neumaier (1981) on regular cliques in edge-regular graphs.

Definition

The size of a largest clique in a graph Γ is called the *clique number* of Γ , and is denoted by $\omega(\Gamma)$.

Theorem

Suppose Γ is an edge-regular graph, not complete multipartite, which has an m-quasiregular s-clique. Then for all edge-regular graphs Δ with the same parameters (v, k, λ) as Γ :

- $\omega(\Delta) \leq s$, so in particular, $\omega(\Gamma) = s$;
- 2) all quasiregular cliques in Δ are m-quasiregular cliques;
- the quasiregular cliques in Δ are precisely the cliques of size s (although Δ may have no cliques of size s).

Leonard Soicher (QMUL)

Block intersection polynomials

 In S. (2010, 2015) I discuss the use of block intersection polynomials to obtain an upper bound on the clique number of an edge-regular graph Γ with given parameters (v, k, λ). I will illustrate this by an example, and show how further information can be extracted.

- In S. (2010, 2015) I discuss the use of block intersection polynomials to obtain an upper bound on the clique number of an edge-regular graph Γ with given parameters (v, k, λ). I will illustrate this by an example, and show how further information can be extracted.
- The parameters with smallest v for which the existence of a strongly regular graph is unknown are

$$(v, k, \lambda, \mu) = (65, 32, 15, 16).$$

- In S. (2010, 2015) I discuss the use of block intersection polynomials to obtain an upper bound on the clique number of an edge-regular graph Γ with given parameters (v, k, λ). I will illustrate this by an example, and show how further information can be extracted.
- The parameters with smallest v for which the existence of a strongly regular graph is unknown are

$$(v, k, \lambda, \mu) = (65, 32, 15, 16).$$

• A strongly regular graph with these parameters would have least eigenvalue $(-1 - \sqrt{65})/2$, and the Delsarte-Hoffman bound for the clique number would be $8 = \lfloor 1 + 64/(1 + \sqrt{65}) \rfloor$.

くほと くほと くほと

- In S. (2010, 2015) I discuss the use of block intersection polynomials to obtain an upper bound on the clique number of an edge-regular graph Γ with given parameters (v, k, λ). I will illustrate this by an example, and show how further information can be extracted.
- The parameters with smallest v for which the existence of a strongly regular graph is unknown are

$$(v, k, \lambda, \mu) = (65, 32, 15, 16).$$

- A strongly regular graph with these parameters would have least eigenvalue $(-1 \sqrt{65})/2$, and the Delsarte-Hoffman bound for the clique number would be $8 = \lfloor 1 + 64/(1 + \sqrt{65}) \rfloor$.
- However, $B(3, [0^9], 65 8, 32 7, 15 6) = -12 < 0$, and so no edge-regular graph with parameters (65, 32, 15) can have a clique of size 8.

イロン 不通 と 不良 と 不良 と 一項

It may be fruitful to search for a strongly regular graph Γ with parameters (65, 32, 15, 16) and containing a clique S of size 7.

・ 何 ト ・ ヨ ト ・ ヨ ト

It may be fruitful to search for a strongly regular graph Γ with parameters (65, 32, 15, 16) and containing a clique S of size 7.

One could split this into subcases depending on the number n_0 of vertices in Γ adjacent to no vertex in S. To eliminate $n_0 \ge 3$, we calculate the block intersection polynomial

 $B(x) := B(x, [3, 0^7], [58, 26, 10]) = 55x^2 - 309x + 420.$

It may be fruitful to search for a strongly regular graph Γ with parameters (65, 32, 15, 16) and containing a clique S of size 7.

One could split this into subcases depending on the number n_0 of vertices in Γ adjacent to no vertex in S. To eliminate $n_0 \ge 3$, we calculate the block intersection polynomial

$$B(x) := B(x, [3, 0^7], [58, 26, 10]) = 55x^2 - 309x + 420.$$

Then B(3) = -12, and so $n_0 < 3$. To consider $n_0 = 2$, we calculate

$$B(x) := B(x, [2, 0^7], [58, 26, 10]) = 56(x - 3)(x - 5/2).$$

- 4 週 ト - 4 三 ト - 4 三 ト

It may be fruitful to search for a strongly regular graph Γ with parameters (65, 32, 15, 16) and containing a clique S of size 7.

One could split this into subcases depending on the number n_0 of vertices in Γ adjacent to no vertex in S. To eliminate $n_0 \ge 3$, we calculate the block intersection polynomial

$$B(x) := B(x, [3, 0^7], [58, 26, 10]) = 55x^2 - 309x + 420.$$

Then B(3) = -12, and so $n_0 < 3$. To consider $n_0 = 2$, we calculate

$$B(x) := B(x, [2, 0^7], [58, 26, 10]) = 56(x - 3)(x - 5/2).$$

Then B(3) = 0, and so, if there are two distinct vertices a, b of Γ adjacent to no vertex in some 7-clique S, then every vertex of Γ not in $S \cup \{a, b\}$ is adjacent to just 3 or 4 vertices of S (with exactly B(4)/2 = 42 vertices adjacent to exactly 3 vertices of S).

◆□▶ ◆圖▶ ◆圖▶ ◆圖▶ ─ 圖

For further results, details, proofs, applications, and implementations, see:

- P.J. Cameron and L.H. Soicher, Block intersection polynomials, *Bull. London Math. Soc.* **39** (2007), 559–564.
- A.A. Makhnev, On cliques in isoregular graphs, *Doklady Mathematics* **84** (2011), 491–494.
- L.H. Soicher, More on block intersection polynomials and new applications to graphs and block designs, *J. Comb. Theory, Ser. A* **117** (2010), 799–809.
- L.H. Soicher, The DESIGN package for GAP, Version 1.6, 2011, http://designtheory.org/software/gap_design/
- L.H. Soicher, On cliques in edge-regular graphs, *J. Algebra* **421** (2015), 260–267.

- 4 同 6 4 日 6 4 日 6