## A Tiling and ( 0,1 )-Matrix Existence Problem

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## A $(0,1)$-Matrix and Tiling Problem

Let $R=\left(r_{1}, r_{2}, \ldots, r_{m}, r_{m+1}=0\right)$ and $S=\left(s_{1}, s_{2}, \ldots, s_{n}\right)$ be nonnegative integral vectors.

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Question: Can a $(m+1) \times n$ checkerboard be tiled with vertical dimers and monomers so that there are $r_{i}$ dimers with the upper half of the dimer in row $i$ and $s_{i}$ dimers in column i?

## A $(0,1)$-Matrix and Tiling Problem

Example: $R=(2,2,1,2,0) ; S=(2,1,2,2)$


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1. A question about the existence of a $(0,1)$-matrix where every sequence of 1 's in a column has an even number of 1 's.
2. The existence of a $(0,1)$-matrix where no consecutive 1 's occur in a column.
3. Phrase it as a linear programming problem and look for a 0,1 solution.
$\left(a_{11}+a_{12}+\cdots+a_{1 n}=r_{1}\right.$, etc. $)$

## Our Point of View

The existence of a $(0,1)$-matrix where no consecutive 1 's occur in a column.

## Definition

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- row sum vector $R$
- column sum vector $S$.


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-Studied by H.J. Ryser, D. Gale, D.R. Fulkerson, R.M Haber, and R. Brualdi.


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Let $A_{1}(R, S)$ be the set of all $(0,1)$-matrices with

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Question
When is $A_{1}(R, S)$ nonempty?

## Example

$$
R=(1,1,3,2,2,3) ; S=(3,1,3,1,1,3)
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$$

| 1 |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 1 |  |  |  |  |  |
|  |  | 1 |  |  |  |
| 1 |  |  |  |  |  |
|  |  |  |  |  |  |
| 1 |  |  |  |  |  |
|  |  |  |  |  |  |
| 3 |  |  |  |  |  |
|  |  |  |  |  |  |
| 2 |  |  |  |  |  |
| 3 | 1 | 3 | 1 | 1 | 3 |

## Example

$$
R=(1,1,3,2,2,3) ; S=(3,1,3,1,1,3)
$$

| 1 |  |  |  |  | $X$ | 1 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  | 1 |  |  | $X$ | 1 |
|  |  |  |  |  |  | 3 |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  | 3 |
| 3 | 1 | 3 | 1 | 1 | 3 |  |

## An Observation

Observation: If $M \in A_{1}(R, S)$ then we can entry wise sum rows $r_{i}$ and $r_{i+1}$ and get a matrix in $A\left(\left(r_{1}, \ldots, r_{i-1}, r_{i}+r_{i+1}, r_{i+2}, \ldots\right), S\right)$.

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The Gale-Ryser Theorem characterizes when $A(R, S)$ is nonempty.

## Definition

Majorization:

- Nonincreasing integral vectors: $a=\left(a_{1}, a_{2}, \ldots a_{n}\right)$ and $b=\left(b_{1}, b_{2}, \ldots b_{m}\right)$. -append zeros to make them equal length (say $n \geq m$ ).
- $a$ is majorized by $b$, denoted $a \preceq b$ when

$$
a_{1}+a_{2}+\cdots+a_{k} \leq b_{1}+b_{2}+\ldots b_{k} \text { for all } k
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a_{1}+a_{2}+\cdots+a_{n}=b_{1}+b_{2}+\cdots+b_{n}
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## Example

Example: $(3,2,1,0) \preceq(3,3,0,0)$ since
$3 \leq 3$
$3+2 \leq 3+3$
$3+2+1=3+3+0$
$3+2+1+0=3+3+0+0$

## Definition

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R=(3,2,3,1) \quad R^{*}=(4,3,2,0, \ldots, 0)
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## Existence Theorem for $A(R, S)$

Theorem (Gale-Ryser, 1957)
If $R=\left(r_{1}, r_{2}, \ldots, r_{m}\right)$ and $S=\left(s_{1}, s_{2}, \ldots, s_{n}\right)$ are nonnegative integral vectors such that $S$ is nonincreasing, then there exists an $m \times n,(0,1)$-matrix with row sum vector $R$ and column sum vector $S$ if and only if $S \preceq R^{*}$.

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For $A_{1}(R, S)$,
$S \preceq\left(r_{1}+r_{2}, r_{3}+r_{4}, r_{5}\right)^{*}$
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## Definition

$$
\text { Let } Q_{R}=\left\{\left(r_{1}+r_{2}, r_{3}+r_{4}, r_{5}\right),\left(r_{1}+r_{2}, r_{3}, r_{4}+r_{5}\right),\left(r_{1}, r_{2}+r_{3}, r_{4}+r_{5}\right)\right\} \text {. }
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Observation: If $A_{1}(R, S)$ is nonempty then $S \preceq q^{*}$ for all $q \in Q_{R}$.

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Observation: If $A_{1}(R, S)$ is nonempty then $S \preceq q^{*}$ for all $q \in Q_{R}$.
Is this condition sufficient to show $A_{1}(R, S)$ is nonempty?

## Existence Theorem for $A_{1}(R, S)$

## Theorem (N., Shader)

If $R=\left(r_{1}, r_{2}, \ldots, r_{m}\right)$ and $S=\left(s_{1}, s_{2}, \ldots, s_{n}\right)$ are nonnegative integral vectors such that $S$ is nonincreasing, then there exists an $m \times n$ $(0,1)$-matrix with no two 1 's occurring consecutively in a column and with row sum vector $R$ and column sum vector $S$ if and only if

$$
S \preceq q^{*} \quad \forall q \in Q_{R} .
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## Proofs of the Gale-Ryser Theorem

-direct combinatorial arguments
-network flows

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## Network Flow for $A(R, S)$



$$
\left[\begin{array}{lll}
1 & 1 & 0 \\
0 & 0 & 1 \\
1 & 0 & 0
\end{array}\right]
$$

## For $A(R, S)$




## Existence Theorem for $A_{1}(R, S)$

Proof.
Main idea: Induction

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- Let $M$ be a $(0,1)$-matrix in

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A_{1}\left(\left(r_{1}, r_{2}, \ldots, r_{i}-1, \ldots, r_{m}\right),\left(s_{1}, \ldots, s_{n}-1\right)\right)
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- If there is a 1 in the $(i, n)$ position, argue that with a switch this can be changed to a 0 .


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- If there is a 1 in the $(i, n)$ position, argue that with a switch this can be changed to a 0 .
- Put a 1 in the $(i, n)$ position and use switches to remove any consecutive 1's.
- This completes the induction on the number of 1 's in the last column and in turn the induction on the number of columns.


## Graph of $A_{1}(R, S)$

## Definition

The graph of $A_{1}(R, S)$ is an undirected graph with:

- vertices are the matrices in $A_{1}(R, S)$
- $M_{1} \sim M_{2}$ if and only if the matrix $M_{1}$ can be changed to $M_{2}$ with one basic switch.


## Further Work

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- Determine statistical information about $A_{1}(R, S)$ by studying a Markov chain defined on the graph of $A_{1}(R, S)$.


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- What if every 1 is followed by $j$ zeros: $A_{j}(R, S)$ ?
- Further study of the network flow connection.


## Further Work



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